

Multi-hadron observables from spectral functions

Maxwell T. Hansen

March 12th, 2021

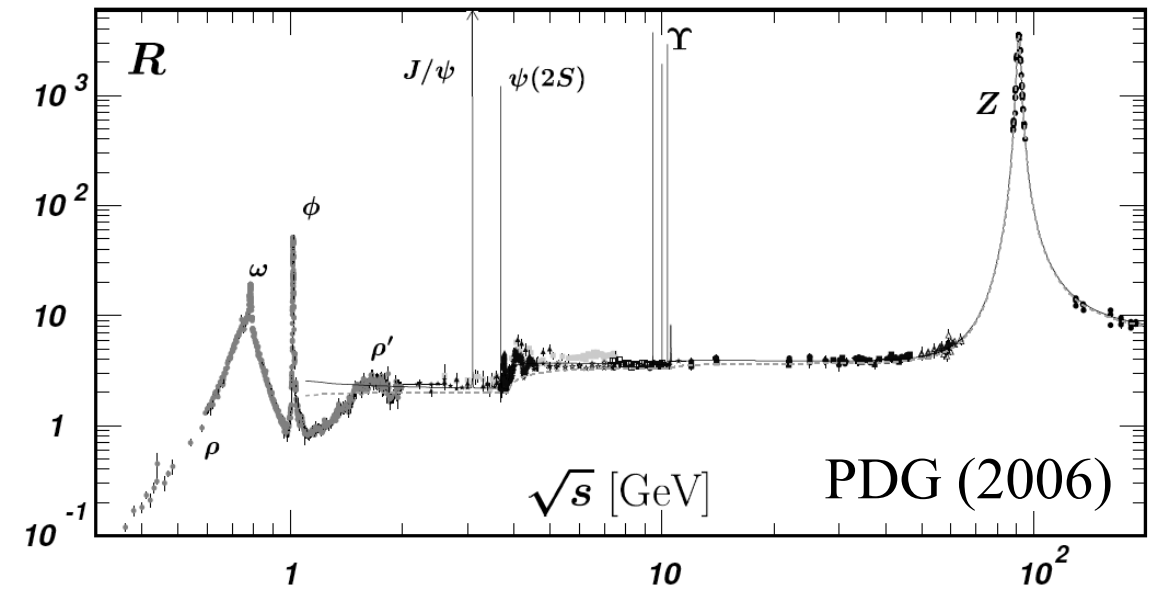


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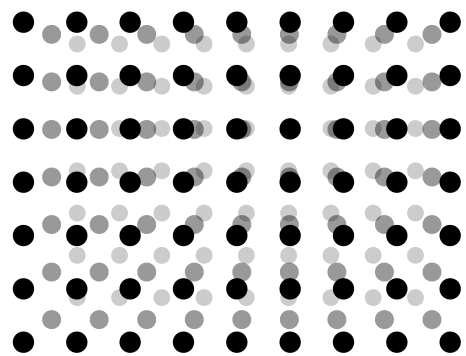
Invitation

- R-ratio based (g-2) determination

$$a^{\text{HVP,LO}}(m_\mu) = \int_{4M_\pi^2}^{\infty} ds K(m_\mu, s) R(s)$$



- Integral can be Wick rotated to Euclidean signature... but ignore this



$$\longrightarrow G(\tau) = \int_{4M_\pi^2}^{\infty} ds g(\tau, s) R(s) \quad g(\tau, s) \propto \sqrt{s} e^{-\sqrt{s}\tau}$$

- Can we find a function $\mathcal{K}(m_\mu, \tau)$? such that...

$$\int_0^{\infty} d\tau \mathcal{K}(m_\mu, \tau) g(\tau, s) = K(m_\mu, s) \quad \longrightarrow \quad a^{\text{HVP,LO}}(m_\mu) = \int_0^{\infty} d\tau \mathcal{K}(m_\mu, \tau) G(\tau)$$

Of course this defines the standard kernel

General idea

we want...

$$\hat{\rho}(\bar{\omega}) \equiv \int_0^\infty d\omega \hat{\delta}_\Delta(\bar{\omega}, \omega) \rho(\omega)$$

we try to construct

$$\int_0^\infty d\tau \mathcal{K}(\bar{\omega}, \tau) e^{-\omega\tau} = \hat{\delta}_\Delta(\bar{\omega}, \omega)$$

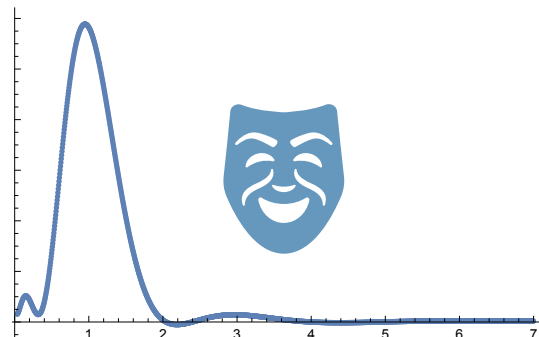
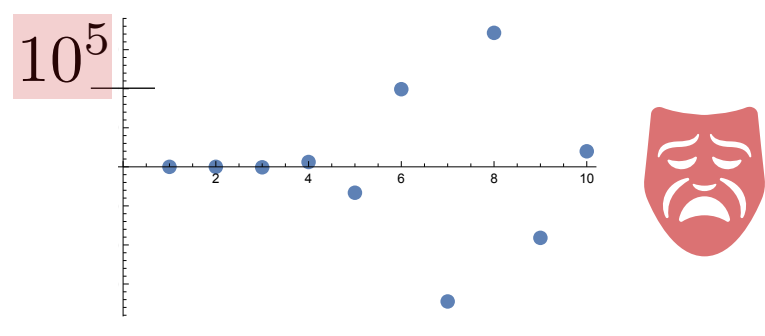
we have...

$$G(\tau) = \int d\omega e^{-\omega\tau} \rho(\omega)$$

it then follows that

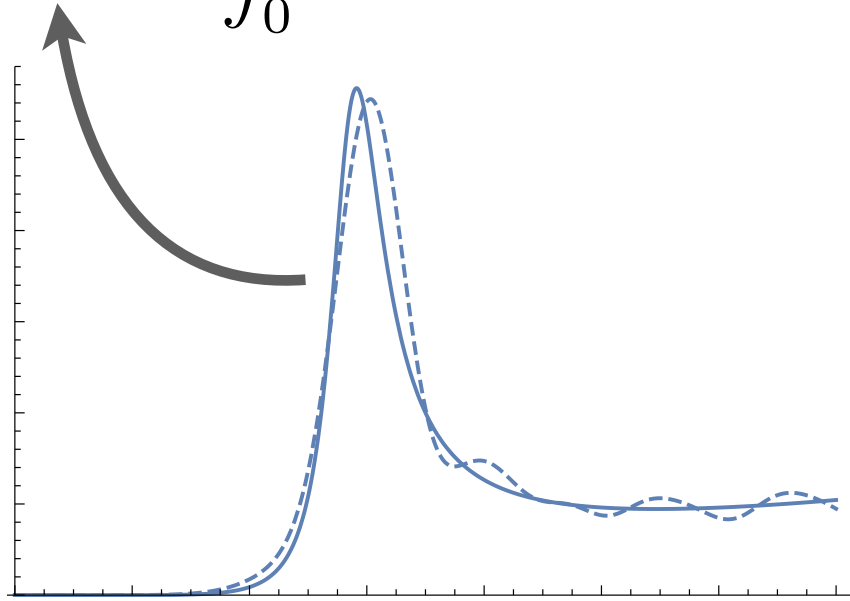
$$\hat{\rho}(\bar{\omega}) = \int_0^\infty d\tau \mathcal{K}(\bar{\omega}, \tau) G(\tau)$$

some examples...

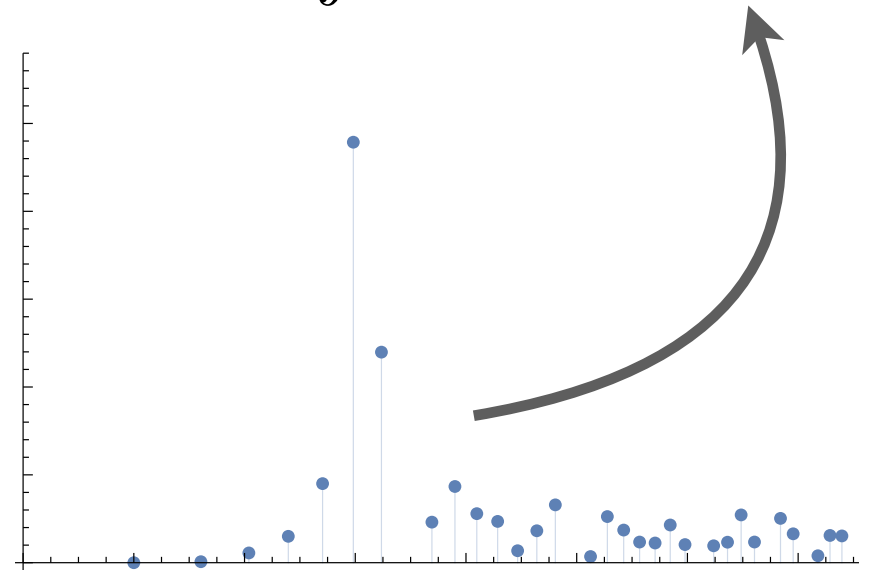
$\hat{\delta}_\Delta(\bar{\omega}, \omega)$	$\mathcal{K}(\bar{\omega}, \tau)$
$e^{-\omega/\bar{\omega}}$ $\frac{1}{\bar{\omega} - \omega}$ 	$\delta(\bar{\omega} - 1/\tau)$ $e^{\bar{\omega}\tau}$ 

Role of the finite volume

$$\hat{\rho}_L(\bar{\omega}) \equiv \int_0^\infty d\omega \hat{\delta}_\Delta(\bar{\omega}, \omega) \rho_L(\omega)$$



$$G_L(\tau) = \int d\omega e^{-\omega\tau} \rho_L(\omega)$$



- Any reconstructed spectral function that \neq forest of deltas...
contains implicit smearing (or else $L \rightarrow \infty$)

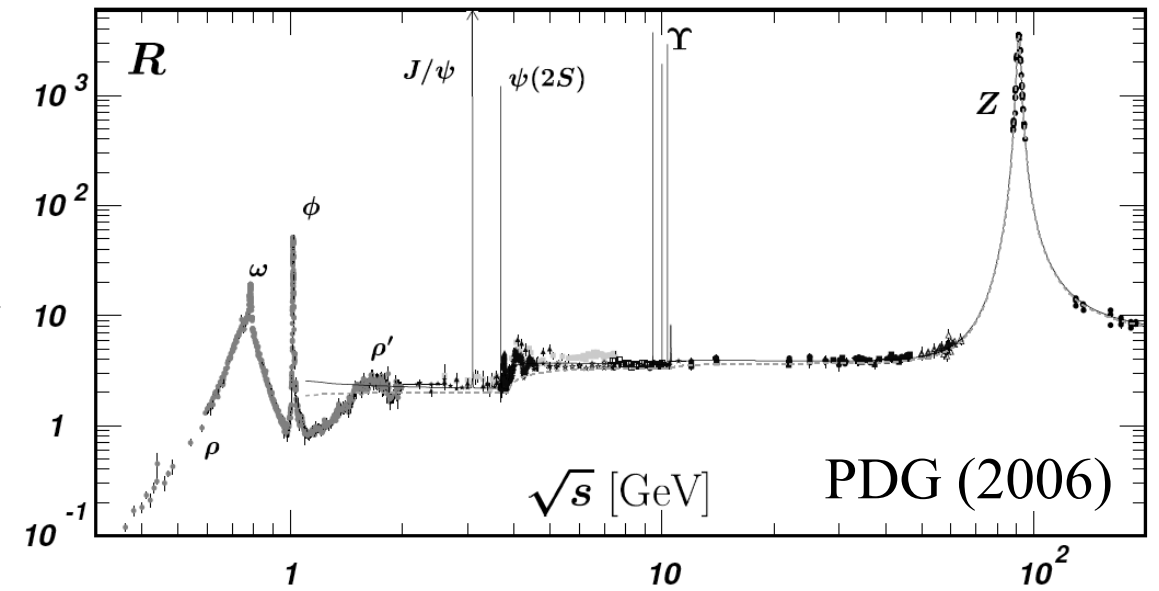
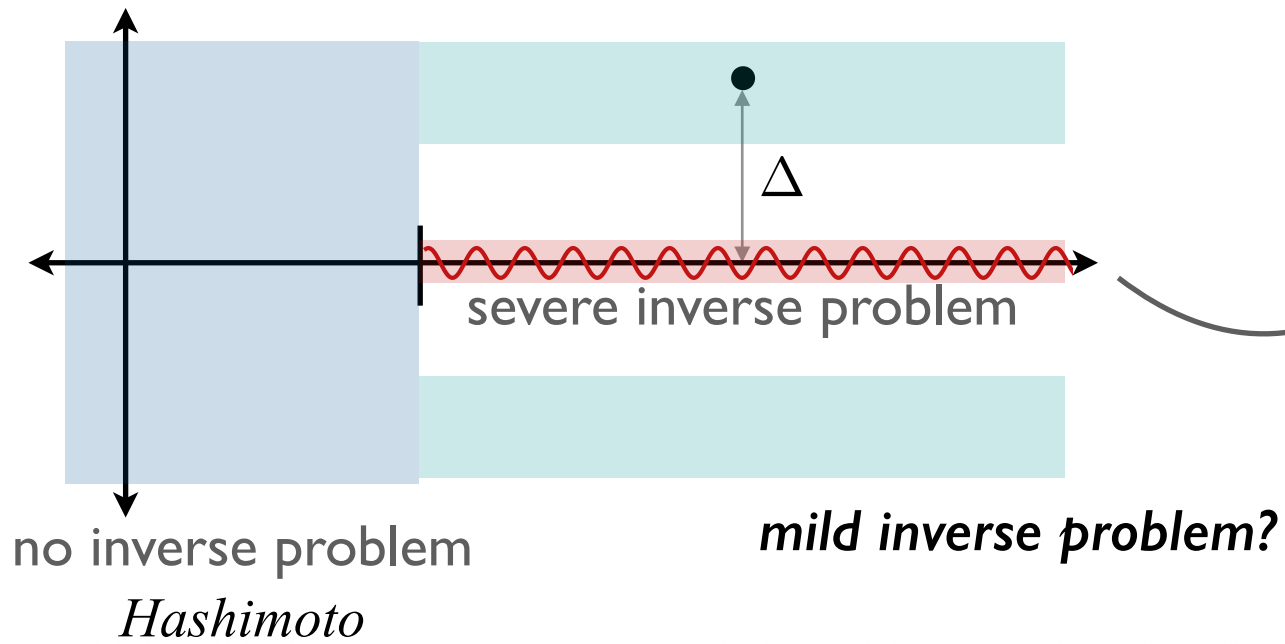
We require...

$$1/L \ll \Delta \ll \mu_{\text{physical}}$$

smearing function
covers many delta peaks

smearing does not overly
distort observable

R ratio



PHYSICAL REVIEW D

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1 APRIL 1976

Smearing method in the quark model*

E. C. Poggio, H. R. Quinn,[†] and S. Weinberg

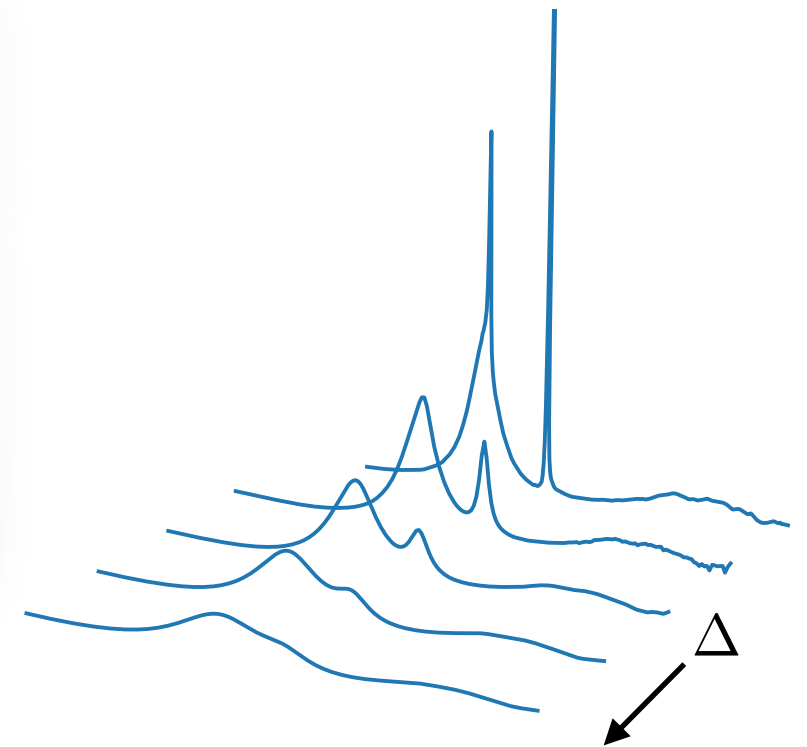
Lyman Laboratory of Physics, Harvard University, Cambridge, Massachusetts 02138

(Received 8 December 1975)

We propose that perturbation theory in a gauge field theory of quarks and gluons can be used to calculate physical mass-shell processes, provided that both the data and the theory are smeared over a suitable energy range. This procedure is explored in detail for the process of electron-positron annihilation into hadrons. We show that a smearing range of 3 GeV^2 in the squared center-of-mass energy should be adequate to allow the use of lowest-order perturbation theory. The smeared data are compared with theory for a variety of models. It appears to be difficult to fit the present data with theory, unless there is a new charged lepton or quark with mass in the region 2 to 3 GeV.

$$\hat{R}_\Delta(s) = \frac{\Delta}{\pi} \int_0^\infty ds' \frac{R(s')}{(s' - s)^2 + \Delta^2}$$

into the complex plane!



courtesy of M. Bruno
(using F. Jegerlehner's *alphaQED*)

Backus-Gilbert

- ❑ Developed by geophysicists Backus and Gilbert in 1967

The Resolving Power of Gross Earth Data

George Backus and Freeman Gilbert

(Received 1968 February 12)



- ❑ *Linear, model-independent* → smeared solution with a *known resolution function*

$$\begin{aligned} \sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) G(\tau) &= \sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) \int d\omega e^{-\omega\tau} \rho(\omega) \\ \text{BG optimizes } K &\quad \curvearrowright \\ &= \int d\omega \left[\sum_{\tau} \mathcal{K}(\bar{\omega}, \tau) e^{-\omega\tau} \right] \rho(\omega) \\ &= \int d\omega \hat{\delta}_{\Delta}(\bar{\omega}, \omega) \rho(\omega) \quad \delta \text{ is exactly known} \end{aligned}$$

- ❑ The correlation matrix is used to stabilize the inverse
- ❑ The quality of the data determines the *resolution*

Backus-Gilbert (details)

□ Un-stabilized inverse

Without uncertainties, minimize the functional...



$$A[\mathcal{K}] \equiv \int_0^\infty d\omega (\bar{\omega} - \omega)^2 \hat{\delta}_\Delta(\bar{\omega}, \omega)^2 = \int_0^\infty d\omega (\bar{\omega} - \omega)^2 \left[\sum_\tau \mathcal{K}_\tau e^{-\omega\tau} \right]^2$$

with unit area constraint on δ

□ Stabilized inverse

With uncertainties, instead minimize...

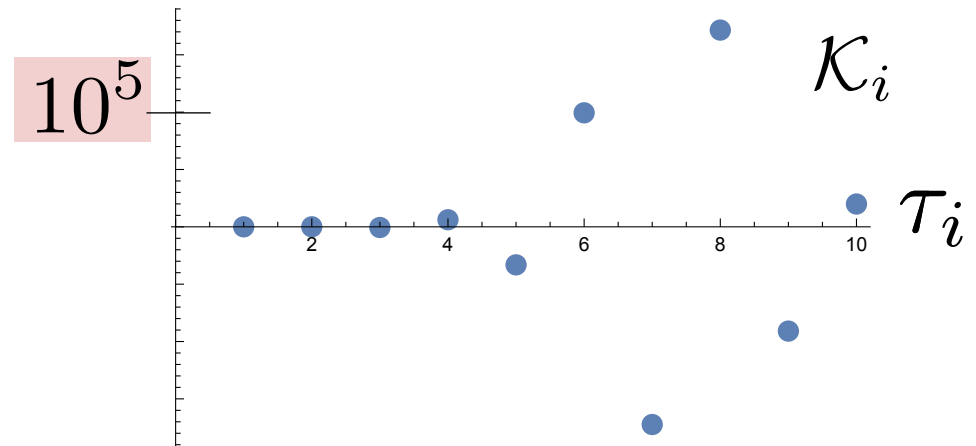
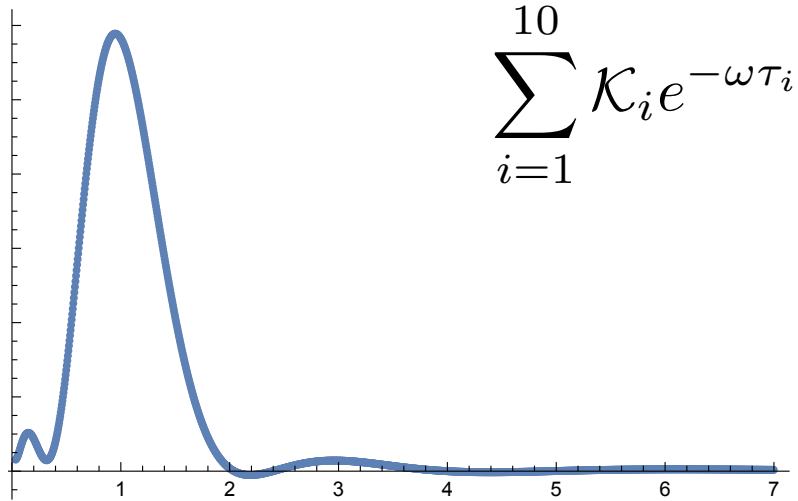
$$W_\lambda[\mathcal{K}] \equiv (1 - \lambda)A[\mathcal{K}] + \lambda \frac{\mathcal{K} \cdot \text{COV} \cdot \mathcal{K}}{\mathcal{N}}$$

wants oscillating K   wants well-behaved K

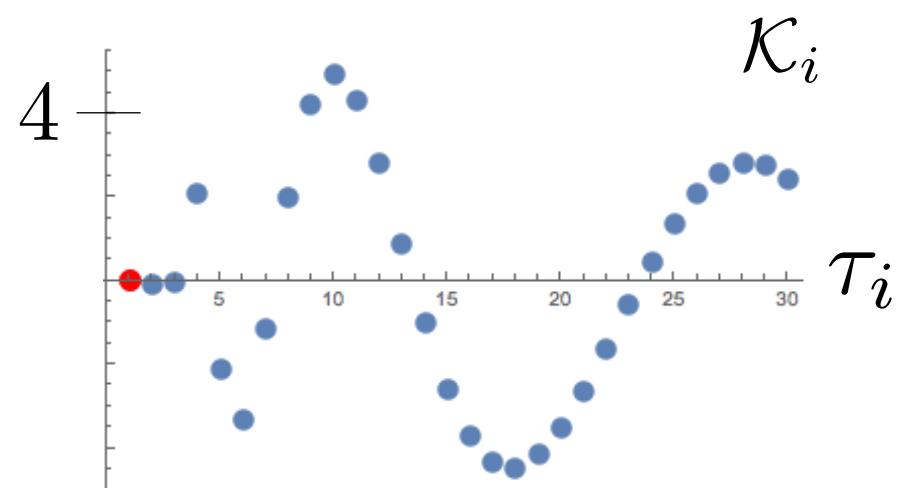
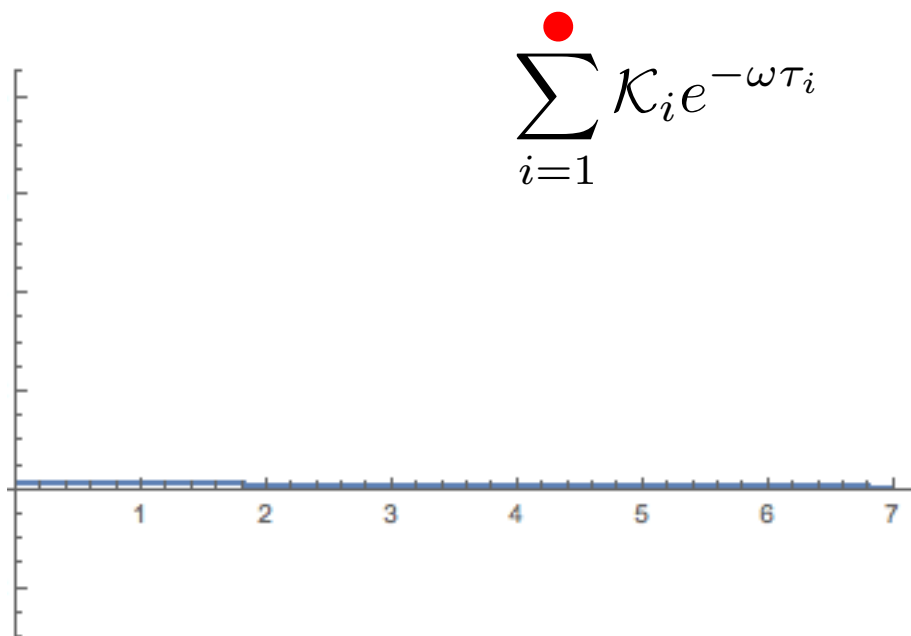
λ parametrizes the tradeoff between *final resolution* and *final uncertainty*

Backus-Gilbert example

□ Un-stabilized inverse

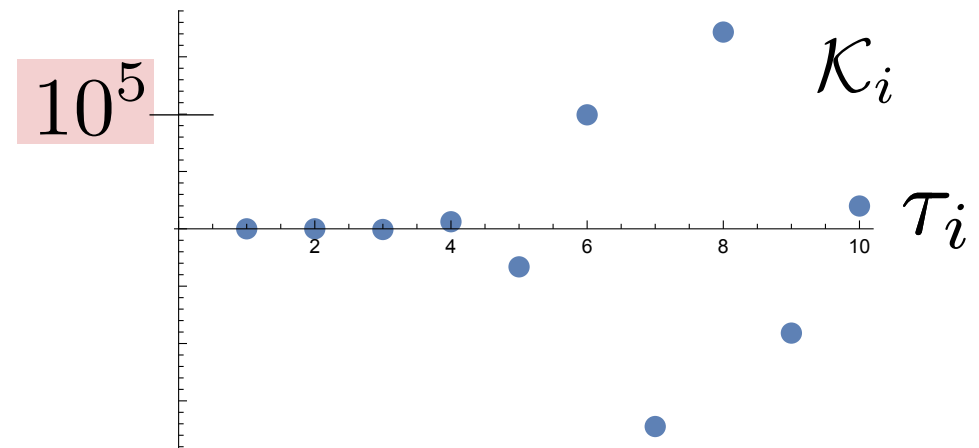
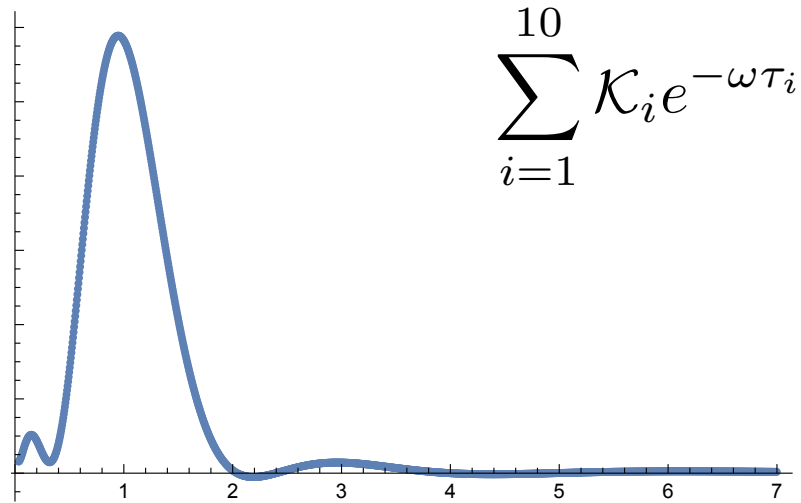


□ Stabilized inverse

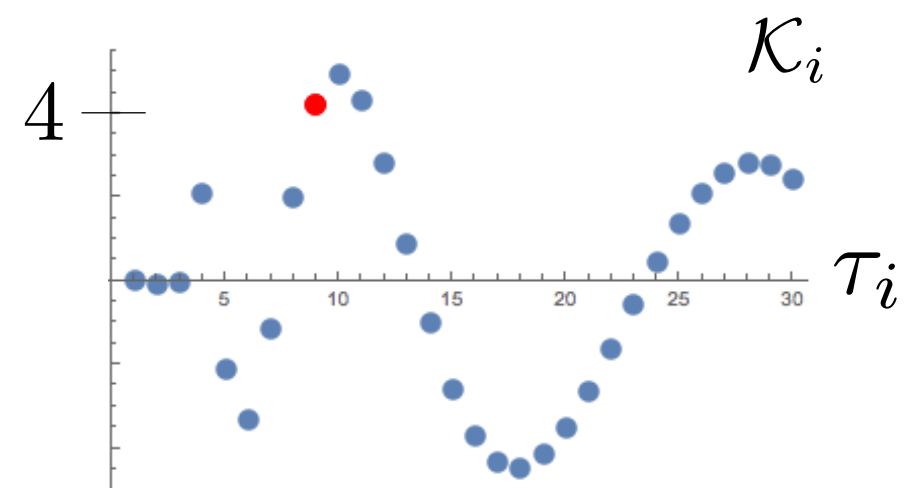
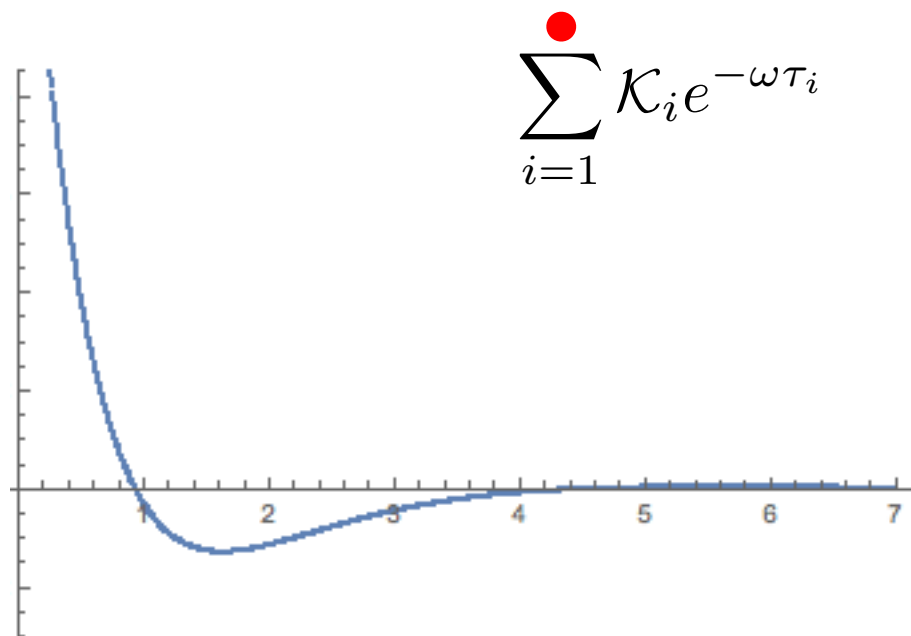


Backus-Gilbert example

□ Un-stabilized inverse

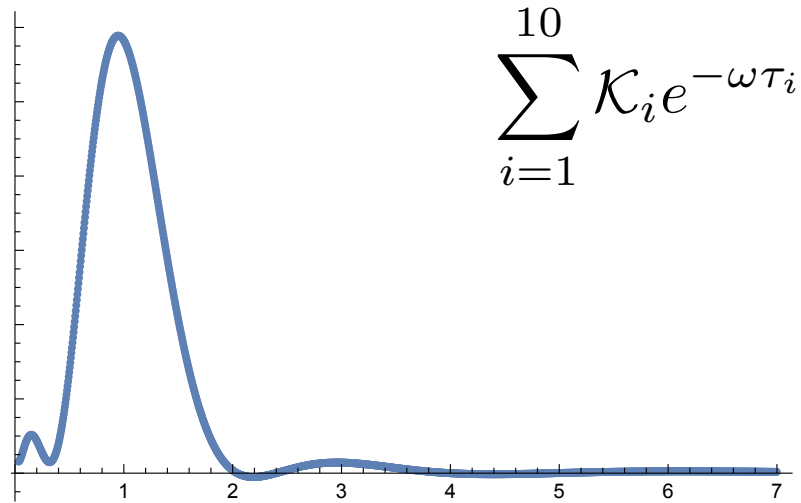


□ Stabilized inverse

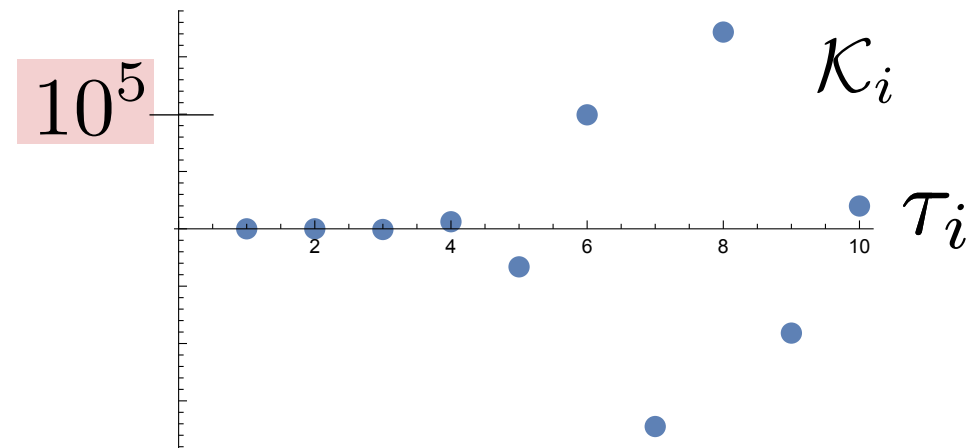


Backus-Gilbert example

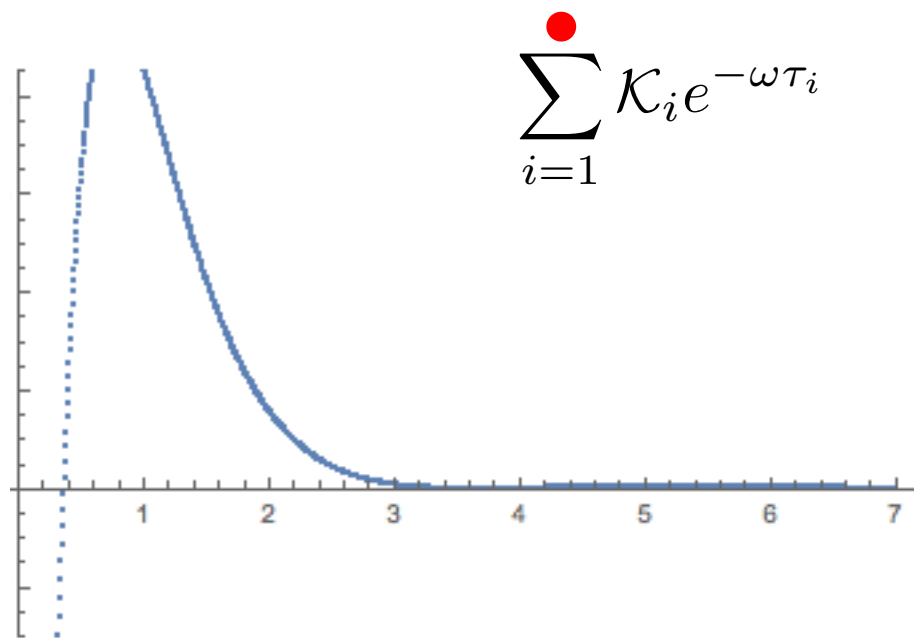
□ Un-stabilized inverse



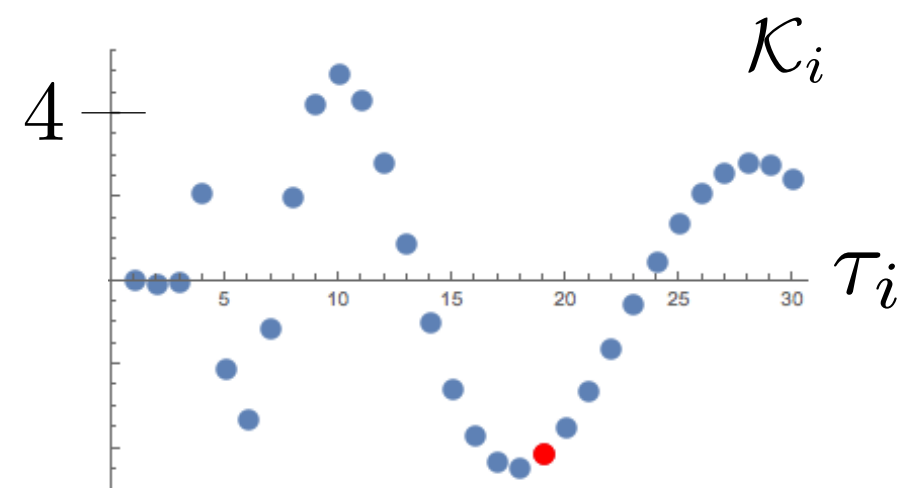
$$\sum_{i=1}^{10} \kappa_i e^{-\omega \tau_i}$$



□ Stabilized inverse

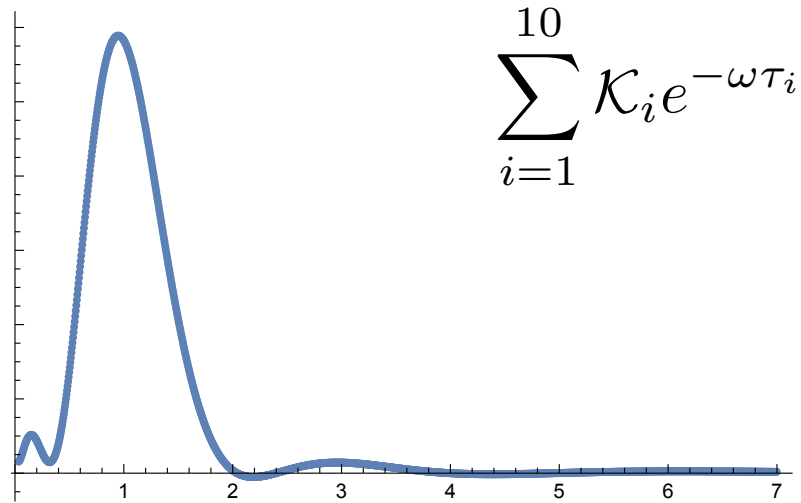


$$\sum_{i=1}^{\bullet} \kappa_i e^{-\omega \tau_i}$$

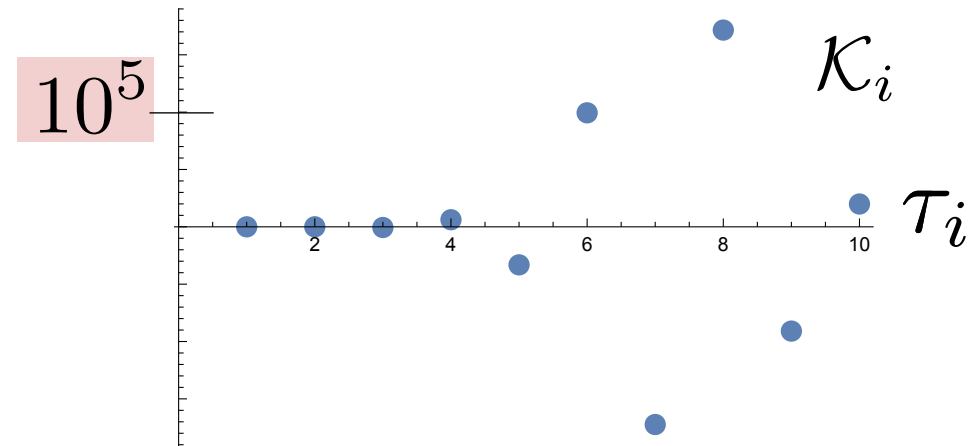


Backus-Gilbert example

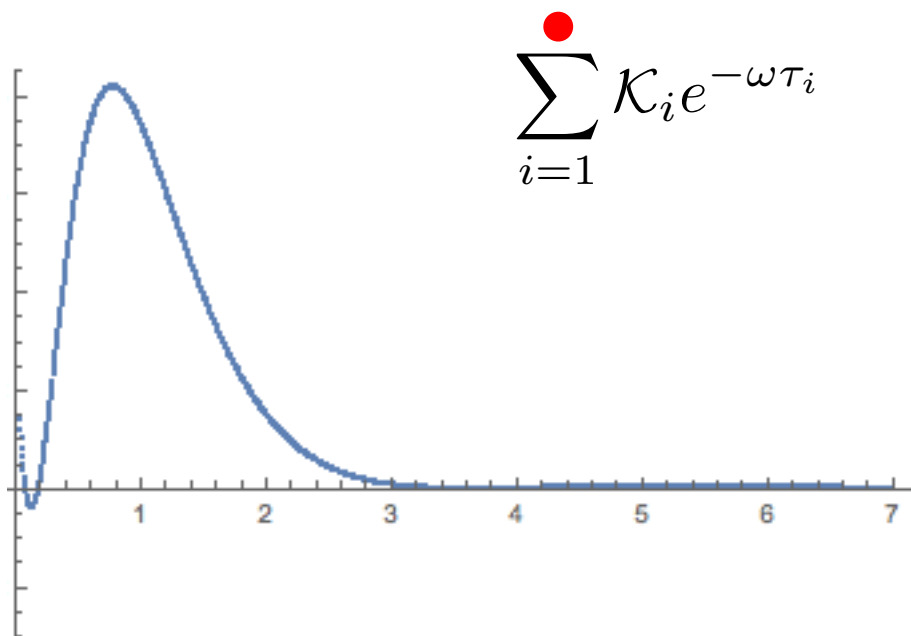
□ Un-stabilized inverse



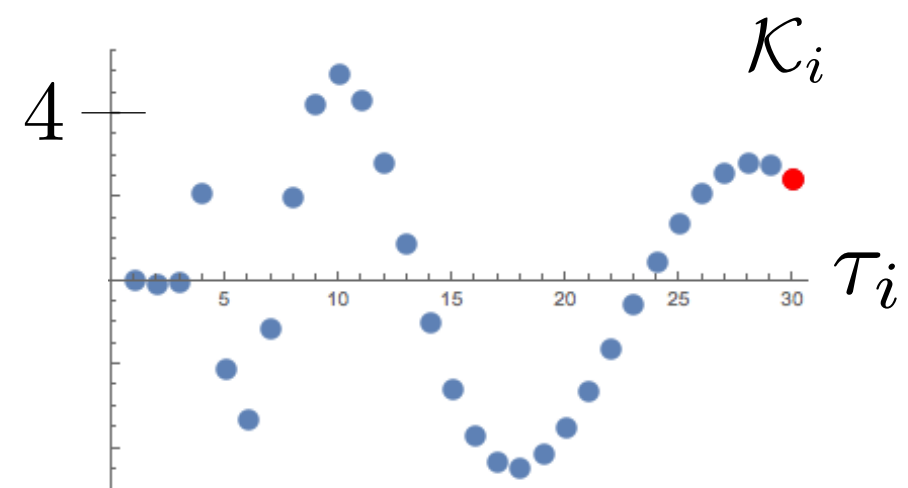
$$\sum_{i=1}^{10} \kappa_i e^{-\omega \tau_i}$$



□ Stabilized inverse



$$\sum_{i=1}^{\bullet} \kappa_i e^{-\omega \tau_i}$$



Extended Backus Gilbert

- ❑ Important generalization from *Martin Hansen, Lupo, Tantalo*
- ❑ Addressed two limitations...

Shape and width of δ depends on data quality

No clear way to set λ

$$W_\lambda[\mathcal{K}] \equiv (1 - \lambda)A[\mathcal{K}] + \lambda \frac{\mathcal{K} \cdot \text{COV} \cdot \mathcal{K}}{\mathcal{N}}$$

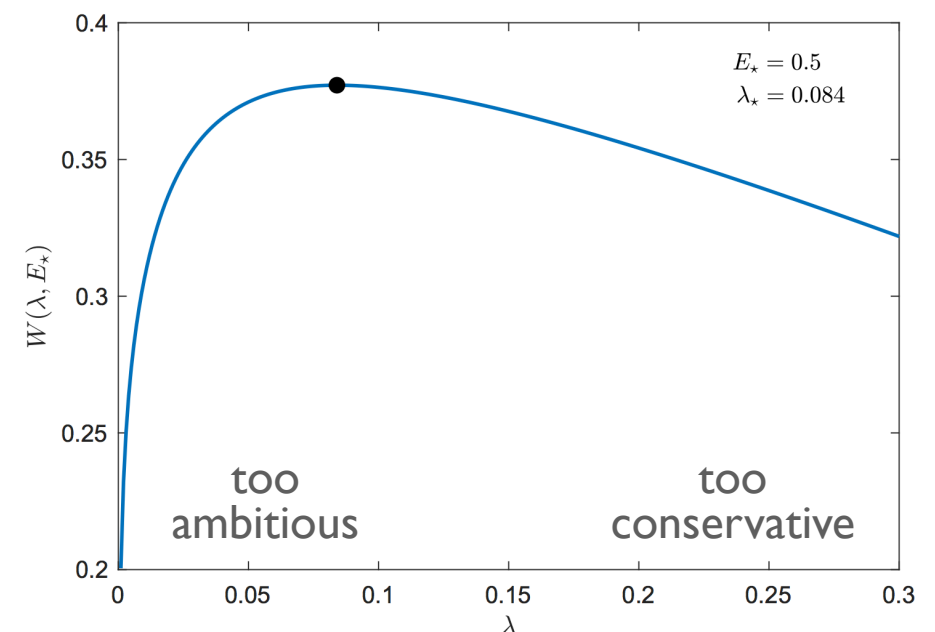
Change minimizing functional

Extremize W w.r.t. λ

$$A[\mathcal{K}] \equiv \int_0^\infty d\omega (\bar{\omega} - \omega)^2 \hat{\delta}_\Delta(\bar{\omega}, \omega)^2$$

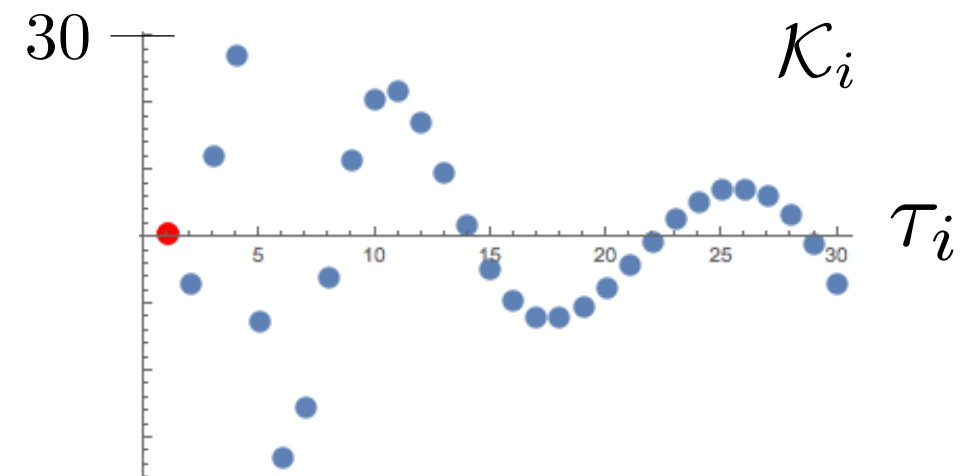
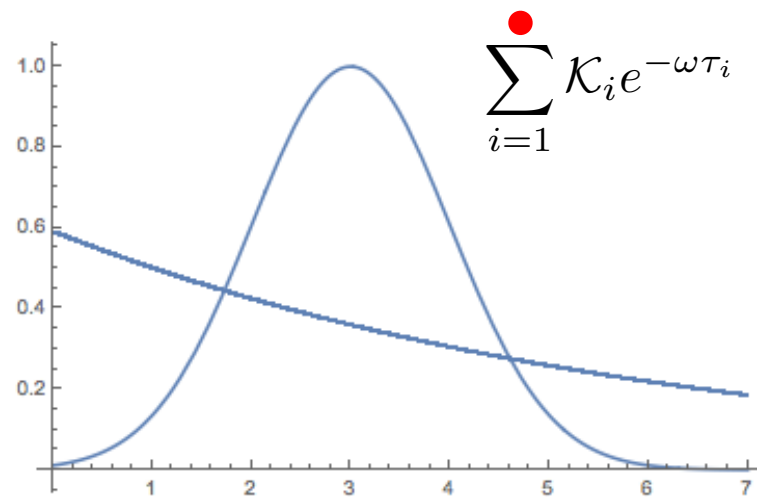
$$\tilde{A}[\mathcal{K}] \equiv \int_0^\infty d\omega \left[\hat{\delta}_\Delta^{\text{target}}(\bar{\omega}, \omega) - \hat{\delta}_\Delta(\bar{\omega}, \omega) \right]^2$$

$$W_\lambda[\mathcal{K}_{\min}(\lambda)]$$



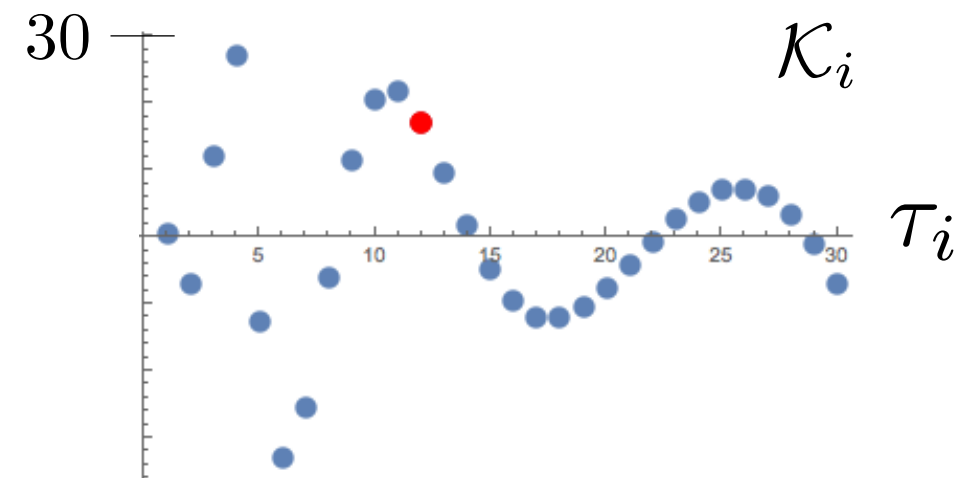
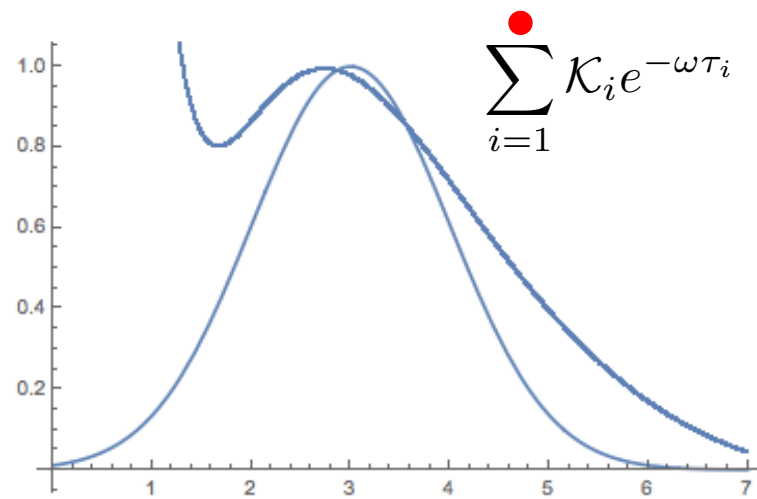
Extended Backus Gilbert example

□ e.g. target a Gaussian



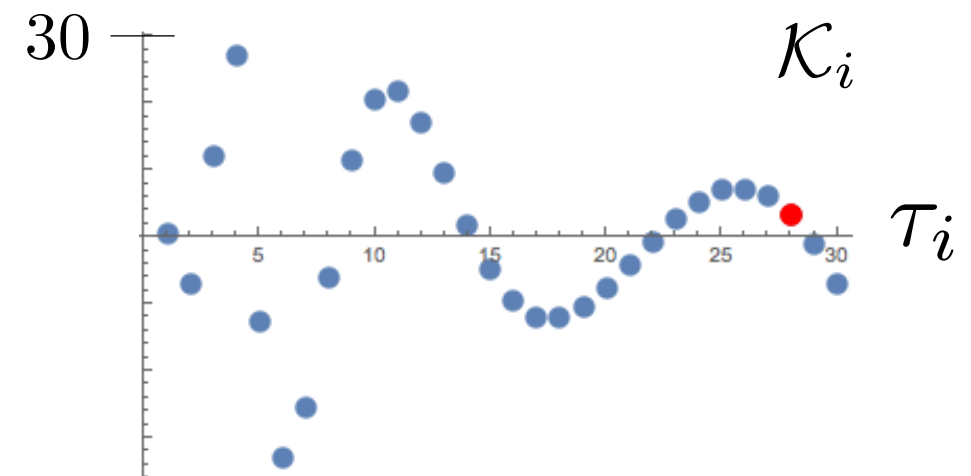
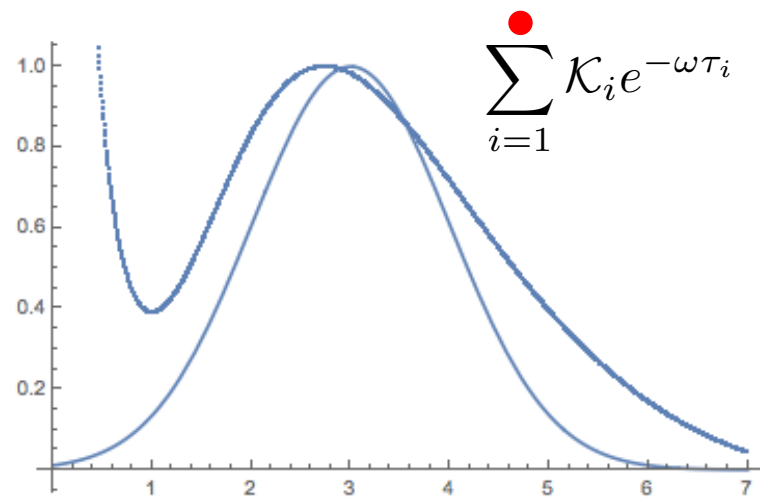
Extended Backus Gilbert example

□ e.g. target a Gaussian



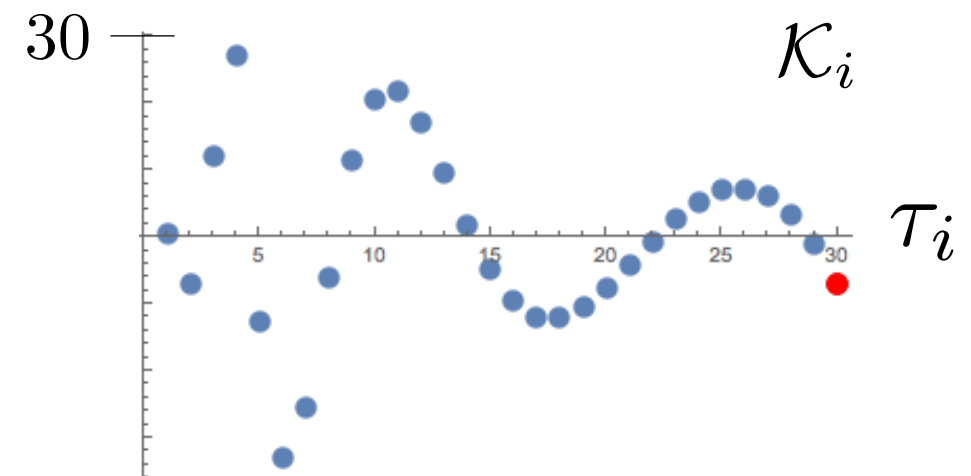
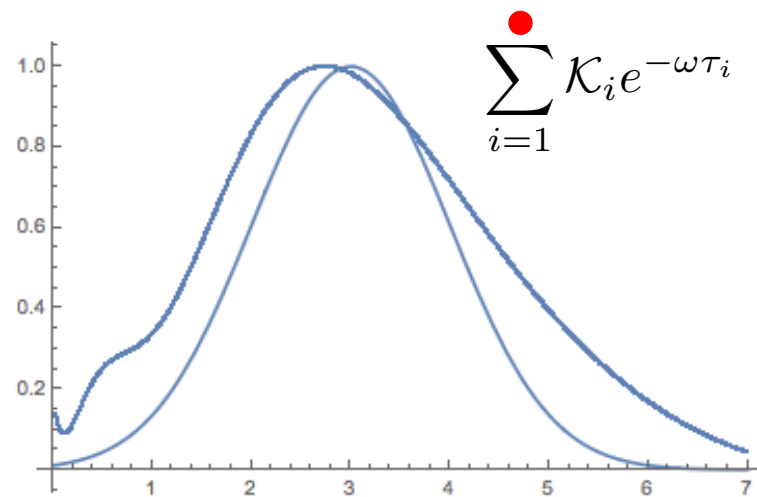
Extended Backus Gilbert example

□ e.g. target a Gaussian

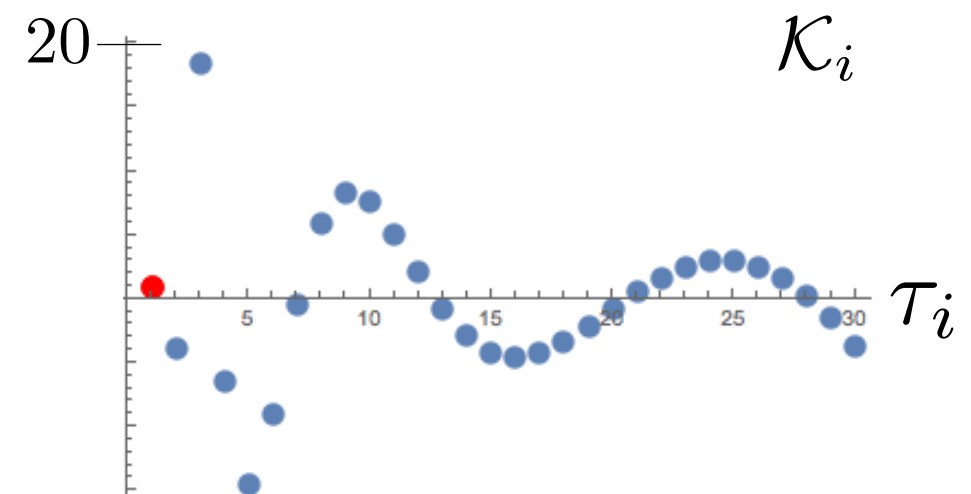
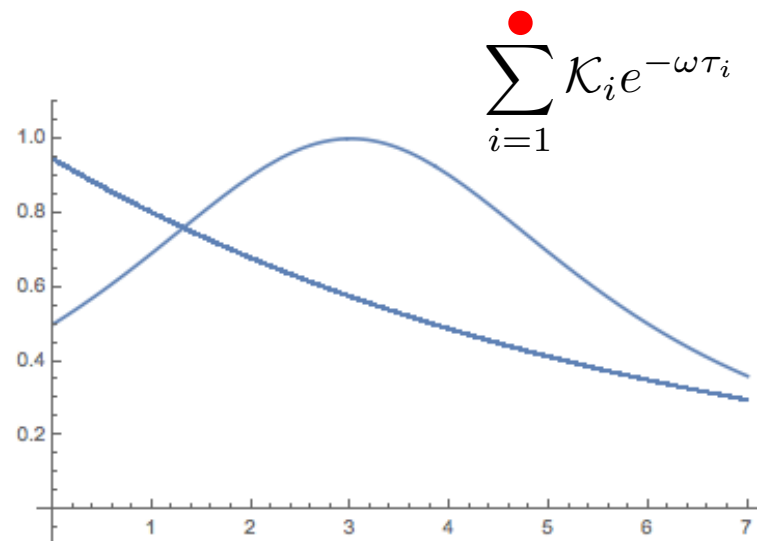


Extended Backus Gilbert example

□ e.g. target a Gaussian

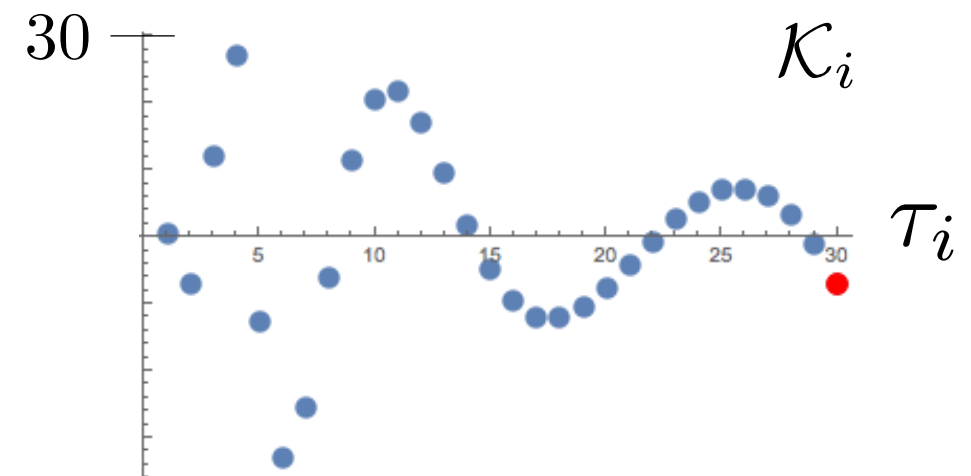
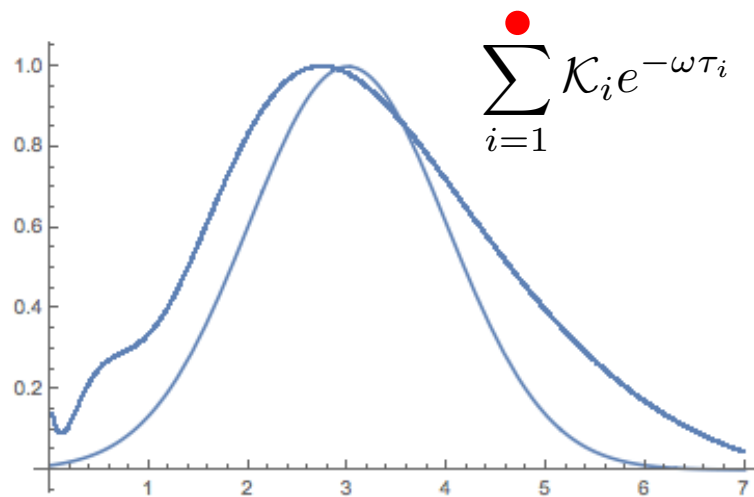


□ ... or a Breit Wigner

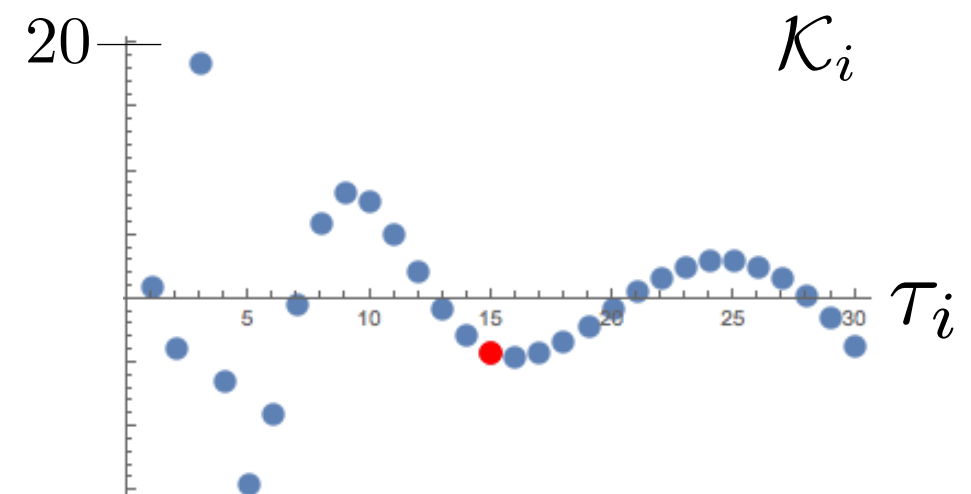
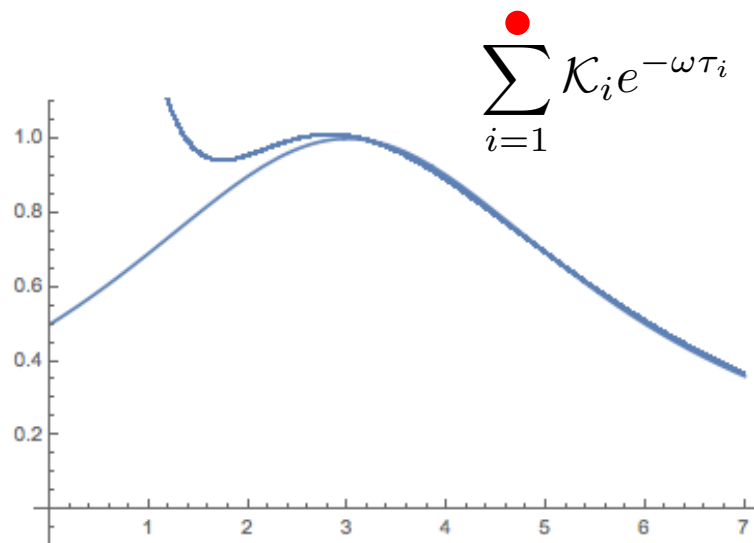


Extended Backus Gilbert example

□ e.g. target a Gaussian

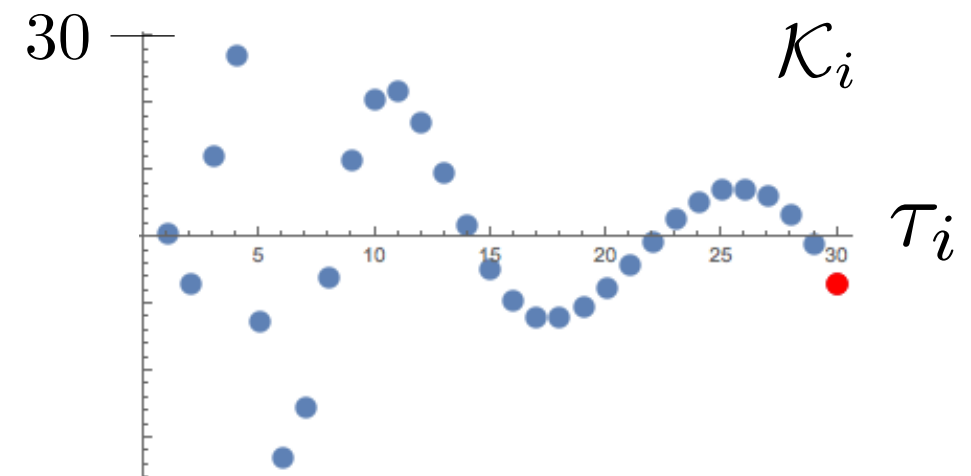
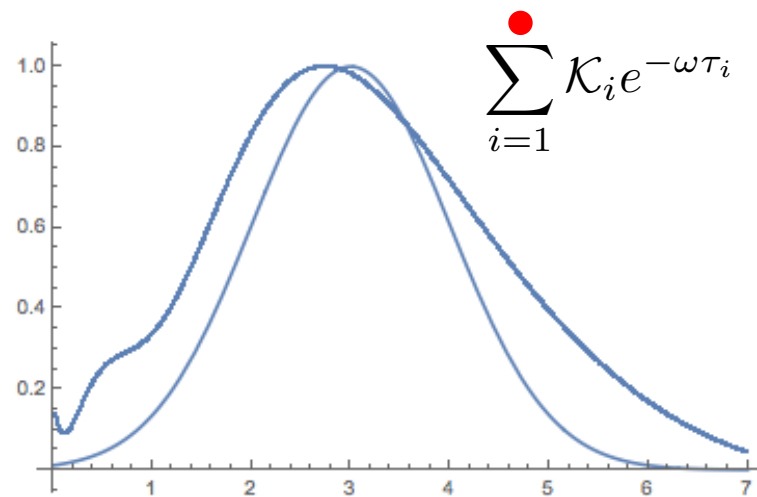


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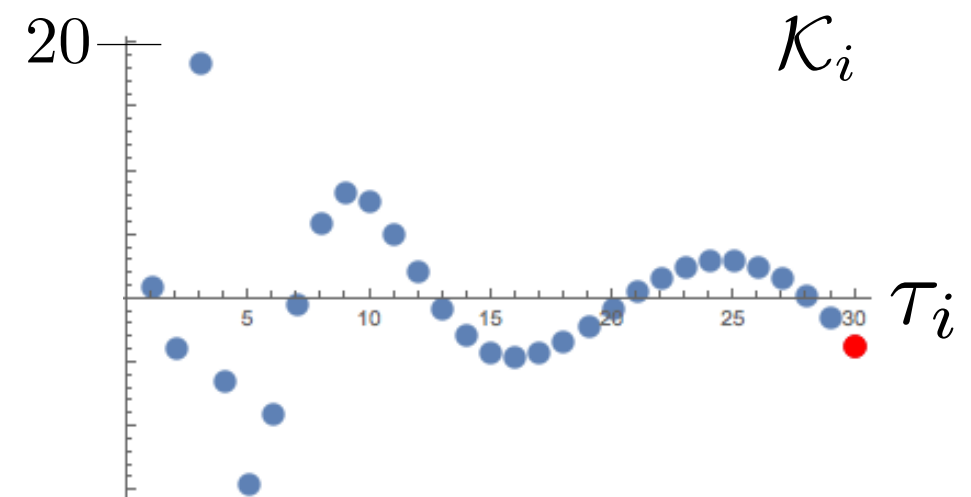
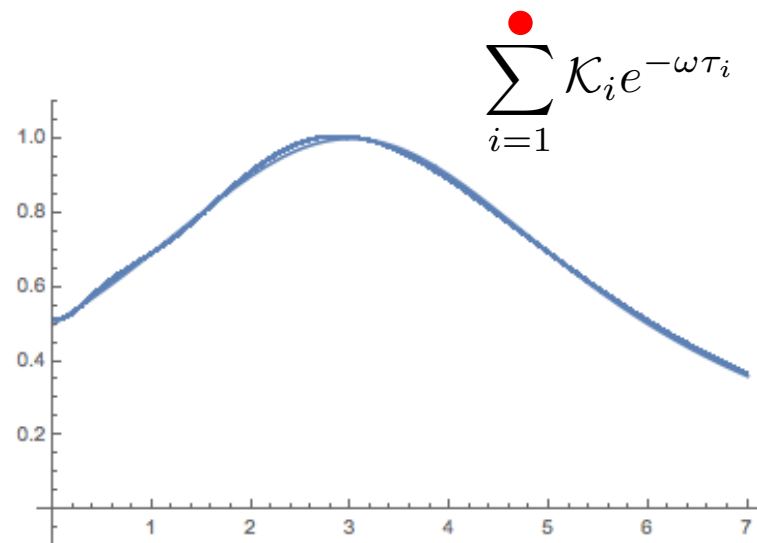


Extended Backus Gilbert example

□ e.g. target a Gaussian



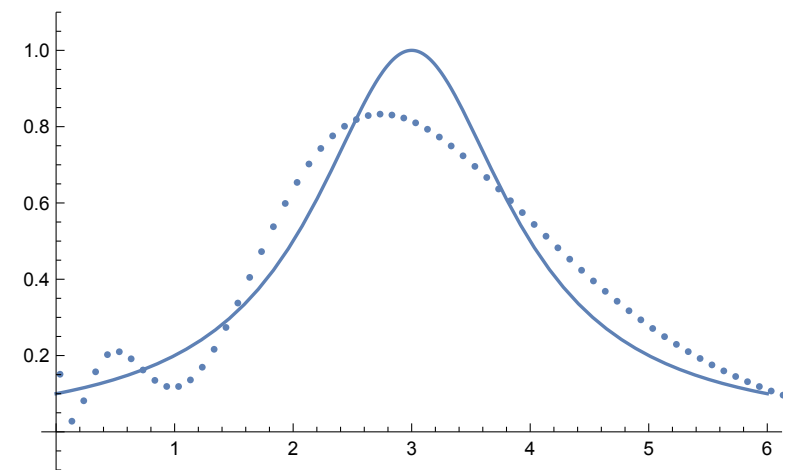
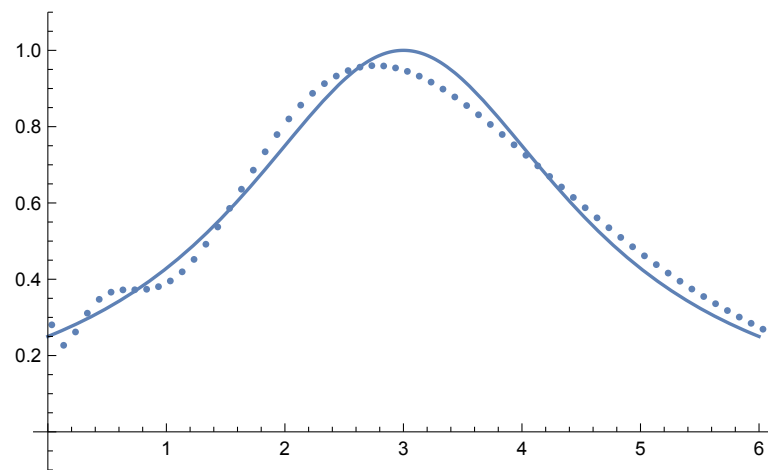
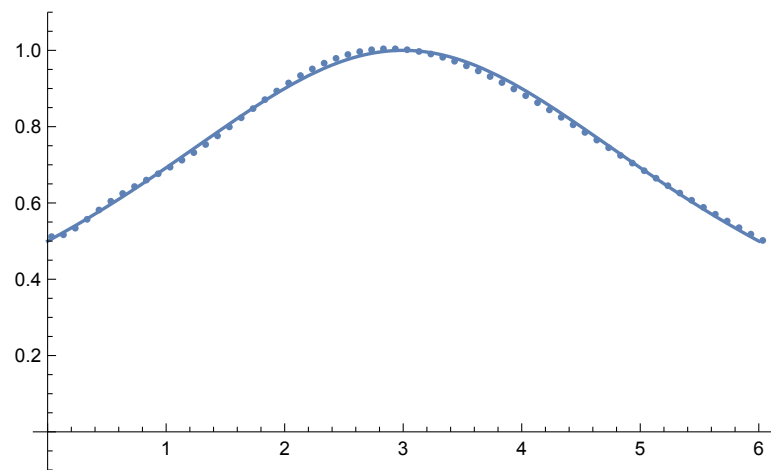
□ ... or a Breit Wigner



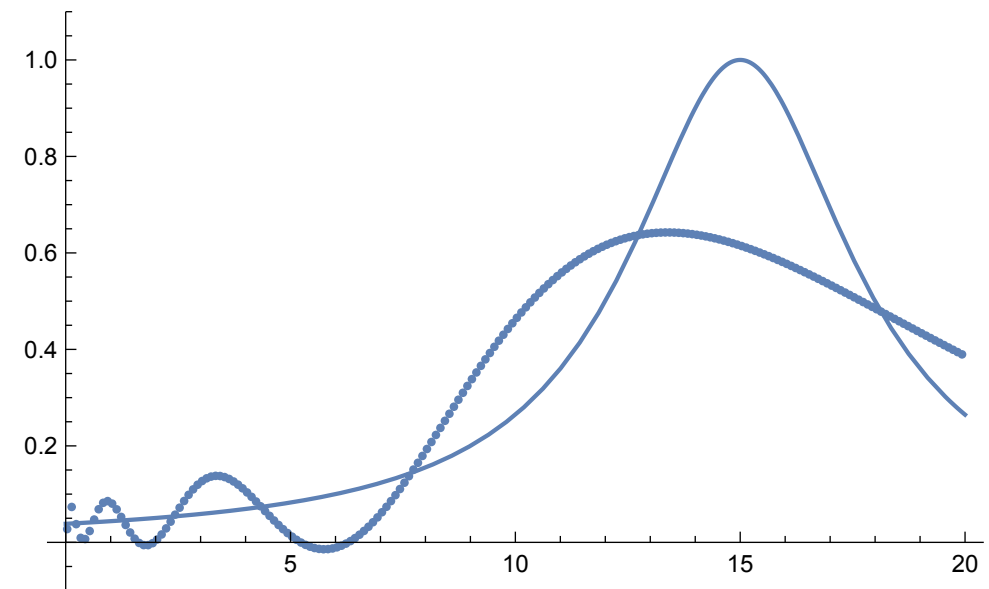
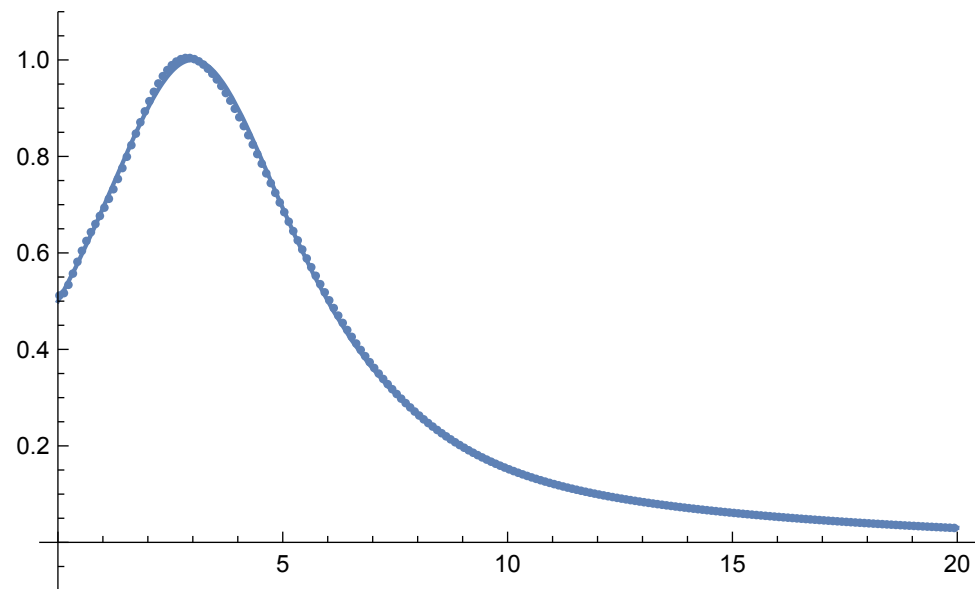
Conservation of evil

❑ Method will always fail if one tries to...

make the resolution function too narrow



move the resolution too high in energy



Hybrid approach

- We do have a robust method to solve the inverse problem... **GEVP**
- Suggests a separation of low-lying states

$$G^{\text{GEVP}}(\tau) = \sum_n^{E_n < 6M_\pi} c_n(L) e^{-E_n(L)\tau} \quad G(\tau) = \sum_n c_n(L) e^{-E_n(L)\tau} = \int_{2M_\pi}^{\infty} d\omega \rho(\omega) e^{-\omega\tau}$$

$$G^{\text{sub}}(\tau) \equiv G(\tau) - G^{\text{GEVP}}(\tau) = \sum_n^{E_n > 6M_\pi} c_n(L) e^{-E_n(L)\tau} \\ = \int_{6M_\pi}^{\infty} d\omega \rho(\omega) e^{-\omega\tau}$$

- Suppose we want $\hat{\rho}(\omega = 8M_\pi)$

resolution from

$$G^{\text{sub}}(\tau)$$

at $\omega = 8M_\pi$

\approx

resolution from

$$G(\tau)$$

at $\omega = 4M_\pi$

\gg

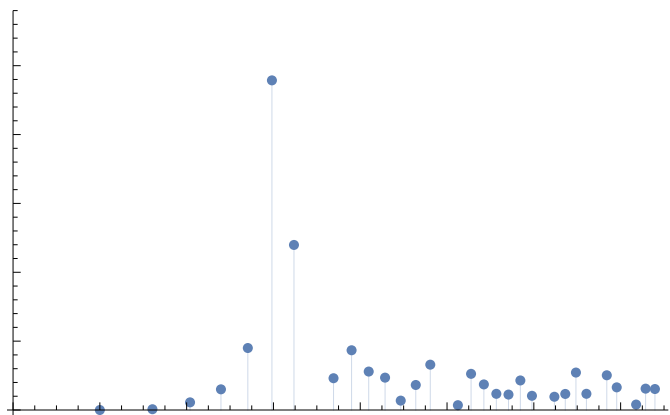
resolution from

$$G(\tau)$$

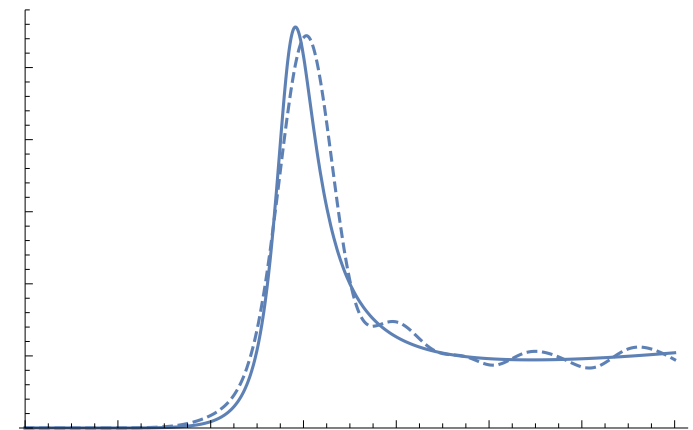
at $\omega = 8M_\pi$

Intermediate summary

- ❑ Cannot solve the inverse problem, we can get $\hat{\rho}_{L,\Delta}(\bar{\omega}) \equiv \int_0^\infty d\omega \hat{\delta}_\Delta(\bar{\omega}, \omega) \rho_L(\omega)$
- ❑ Smearing is needed anyway to *suppress volume effects*

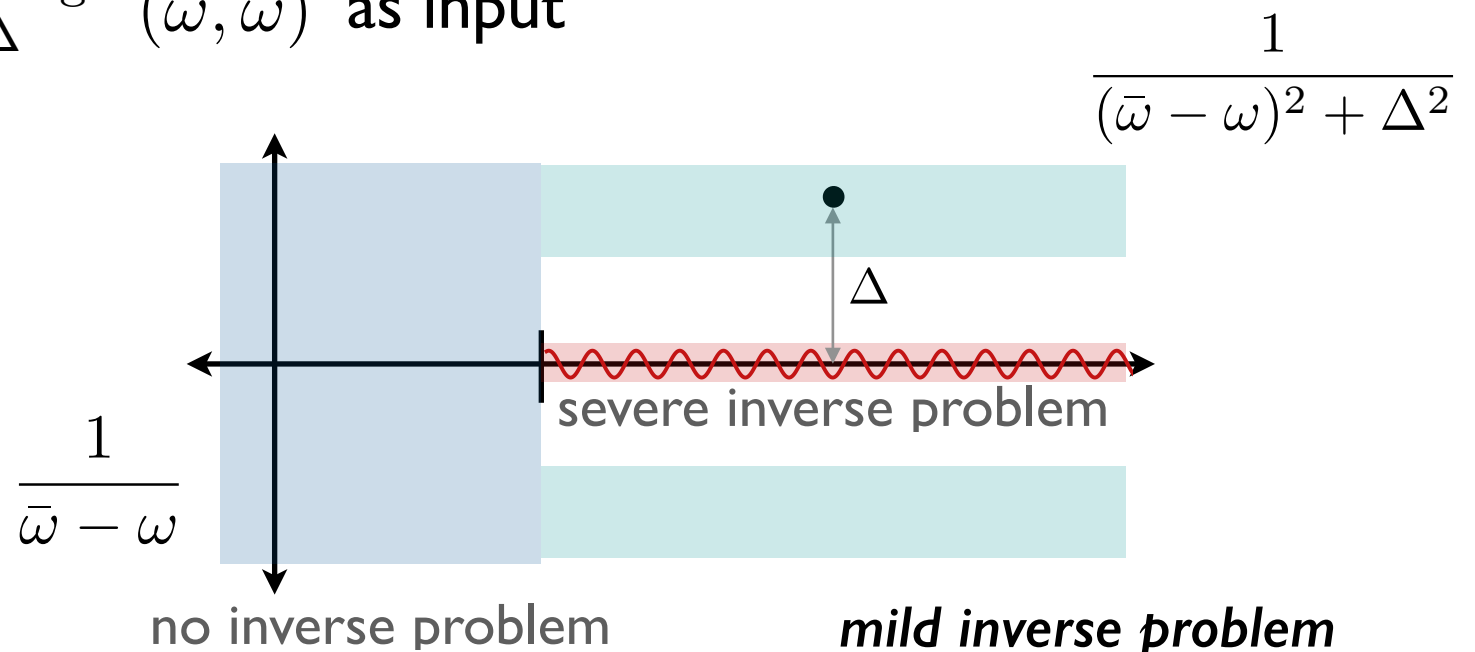


$$1/L \ll \Delta \ll \mu_{\text{physical}}$$



- ❑ Generalized Backus-Gilbert takes $\hat{\delta}_\Delta^{\text{target}}(\bar{\omega}, \omega)$ as input

defines a continuum of inverse-problem severity



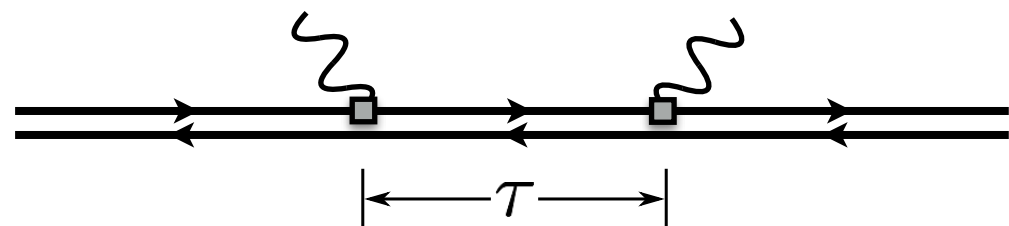
- ❑ Remove low lying states → start BG when states are dense

Total rate based applications

- Hadronic tensor, heavy flavor lifetimes

$$W_{\mu\nu}(p, q) \equiv \int d^4x e^{iqx} \langle \pi, p | \mathcal{J}_\mu^\dagger(x) \mathcal{J}_\nu(0) | \pi, p \rangle$$

$$\propto \int d\Phi \left| \begin{array}{c} \text{wavy line} \\ \swarrow \searrow \\ \bullet \quad \bullet \end{array} \right|^2 + \int d\Phi \left| \begin{array}{c} \text{wavy line} \\ \swarrow \searrow \\ \bullet \quad \bullet \quad \bullet \quad \bullet \end{array} \right|^2 + \int d\Phi \left| \begin{array}{c} \text{wavy line} \\ \swarrow \searrow \\ \bullet \quad \bullet \end{array} \right|^2 + \dots$$



The diagram shows two parallel horizontal lines representing quarks. Two wavy lines representing gluons connect the lines at two different points. A double-headed arrow below the lines indicates a time interval τ .

$$= \int_0^\infty d\omega e^{-\omega\tau} W_{\mu\nu}(p, q)_{\omega=p^0+q^0}$$

$$W_{\mu\nu} \equiv \lim_{\Delta \rightarrow 0} \lim_{L \rightarrow \infty} \widehat{W}_{\mu\nu; \Delta, L}$$

- What about *scattering* and *transition amplitudes*?

Amplitudes from spectral functions

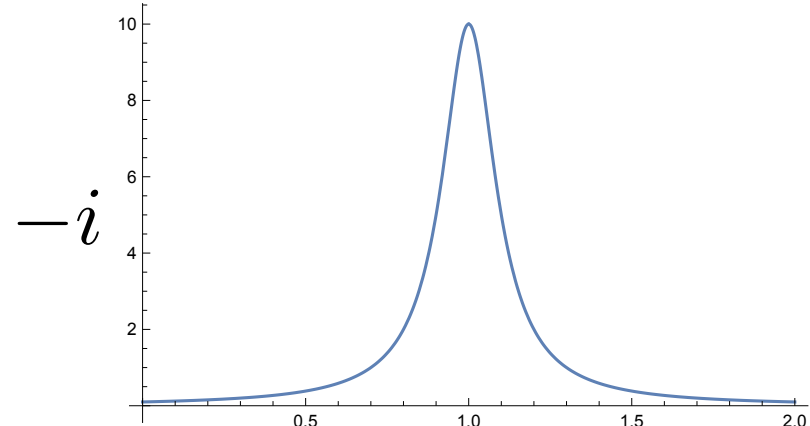
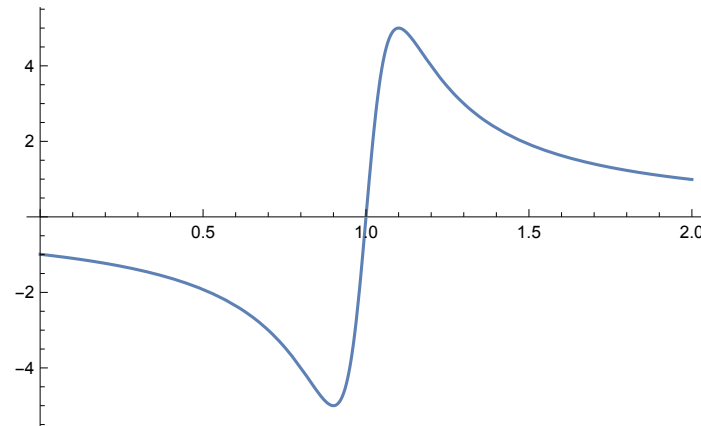
□ First define the Euclidean correlator

$$G_L(\tau) = \langle \pi_{\mathbf{p}_4} | \pi(\tau_3, \mathbf{p}_3) \pi(0) | \pi_{\mathbf{p}_1} \rangle_L = \int_0^\infty dE_3 \rho(E_3) e^{-E_3 \tau_3}$$

and the smeared spectral function

$$\hat{\rho}_{\mathbf{p}_4 \mathbf{p}_1}^{L, \epsilon}(q_3) = \int_0^\infty dE_3 \frac{1}{q_3^0 - E_3 + i\epsilon} \rho(E_3) = \int_0^\infty dE_3 \hat{\delta}_\epsilon(q_3^0, E_2) \rho(E_3)$$

$$\frac{1}{q_3^0 - E_3 + i\epsilon} =$$



Amplitudes from spectral functions

□ First define the Euclidean correlator

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□ Next project *on shell* at finite ϵ

$$\mathcal{M}_c^{L, \epsilon}(p_4 p_3 | p_2 p_1) \equiv \frac{2E(\mathbf{p}_3)}{Z^{1/2}(\mathbf{p}_3)} \frac{2E(\mathbf{p}_2)}{Z^{1/2}(\mathbf{p}_2)} \epsilon^2 \hat{\rho}_{\mathbf{p}_4 \mathbf{p}_1}^{L, \epsilon}(E(\mathbf{p}_3), \mathbf{p}_3)$$

□ Finally project out the *scattering amplitude*

$$\mathcal{M}_c(p_4 p_3 | p_2 p_1) = \lim_{\epsilon \rightarrow 0^+} \lim_{L \rightarrow \infty} \mathcal{M}_c^{L, \epsilon}(p_4 p_3 | p_2 p_1)$$

Some comments

❑ Derivation based in modified **LSZ** + *signature-independence* of $\rho(E)$

❑ Holds when LSZ holds

$$\langle m, \text{out} | n, \text{in} \rangle \quad \langle m, \text{out} | \mathcal{J}(0) | n, \text{in} \rangle$$

❑ Very challenging... but systematic

for some (unknown) volume + correlator quality, we can get $D \rightarrow \pi\pi, K\bar{K}$

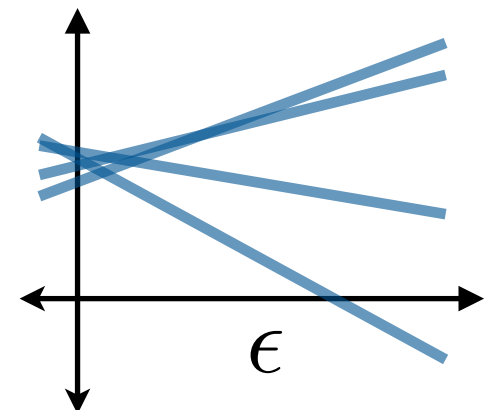
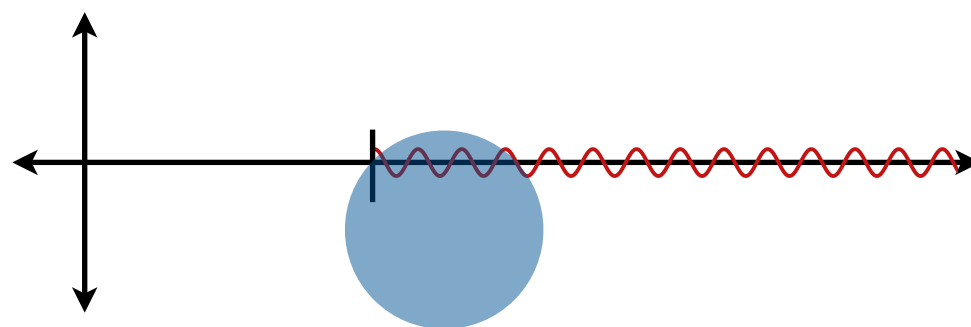
❑ Some nice features...

GEVP-like operator freedom

$$G_L^{[ab]}(\tau) = \langle \pi_{\mathbf{p}_4} | \pi^a(\tau_3, \mathbf{p}_3) \pi^b(0) | \pi_{\mathbf{p}_1} \rangle_L \longrightarrow \mathcal{M}^{[ab], \epsilon, L}$$

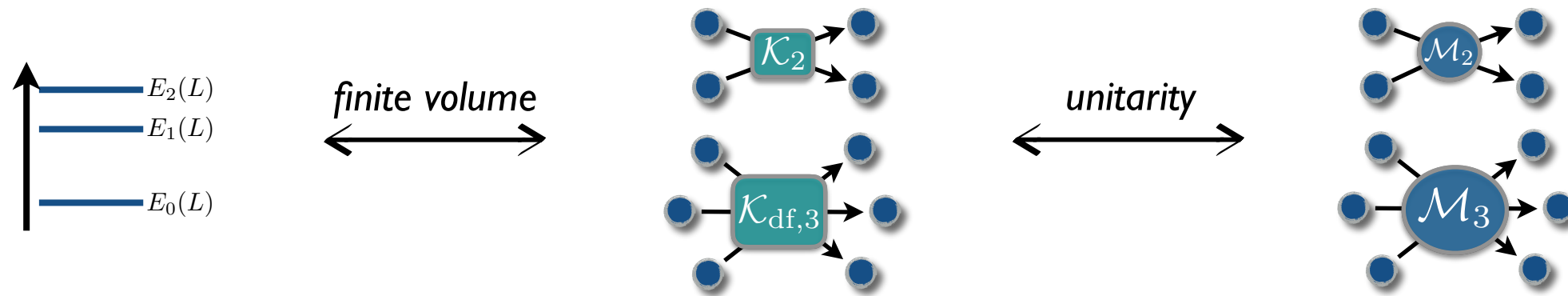
Finite range of analyticity in ϵ

Uses overlaps



Interlude...

- This is an alternative to finite-volume methods



- Proven very powerful and are here to stay
- Spectral function methods will be most competitive in multi-channel regime
- Biggest impact may be lower-precision long distance effects
e.g. *QED corrections of D decays with multi-hadron intermediate states*
- Spectral function methods use **matrix elements** and **energies**

Huang, Yang (1958) • Lüscher (1986, 1991) • Rummukainen, Gottlieb (1995)

Kim, Sachrajda, Sharpe (2005) • Christ, Kim, Yamazaki (2005) • He, Feng, Liu (2005)

Leskovec, Prelovsek (2012) • Bernard *et. al.* (2012) • MTH, Sharpe (2012) • Briceño, Davoudi (2012)

Li, Liu (2013) • Briceño (2014) • Briceño, Davoudi (2015) • Mai, Döring • Hansen, Sharpe

Perturbative study...

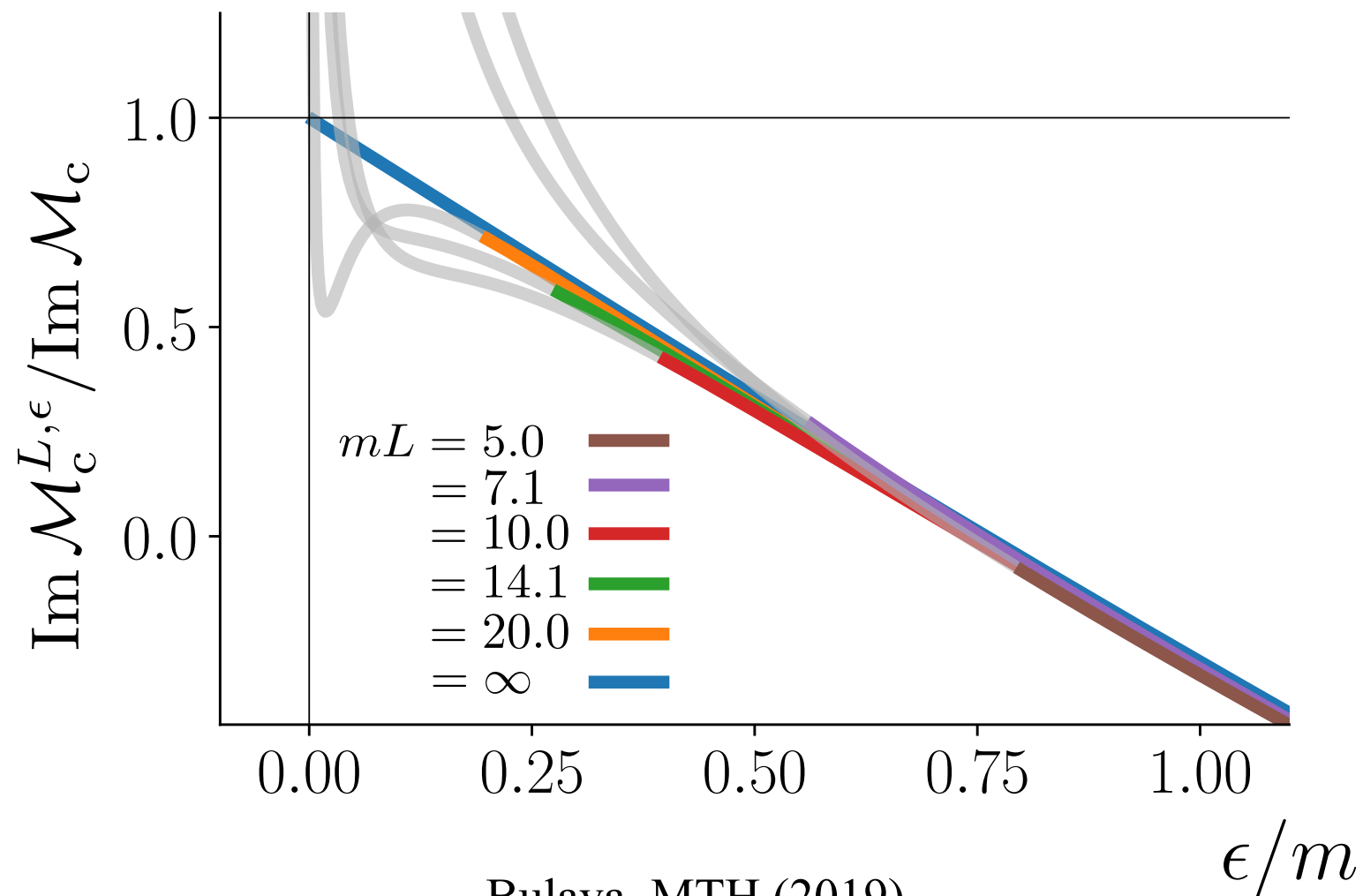
Calculate in PT

$$G_L(\tau) = \langle \pi_{\mathbf{p}_4} | \pi(\tau_3, \mathbf{p}_3) \pi(0) | \pi_{\mathbf{p}_1} \rangle_L$$

Convert to this

$$\mathcal{M}_c^{L,\epsilon}(p_4 p_3 | p_2 p_1)$$

$$\text{Im } \mathcal{M}_c^{L,\epsilon}(p_4 p_3 | p_2 p_1) = \frac{\lambda^2}{2} \frac{1}{L^3} \sum_{\mathbf{k}'}^{\Lambda} \frac{1}{(2E(\mathbf{k}'))^2} \text{Im} \left\{ \frac{1}{(E_{\text{cm}} - 2E(\mathbf{k}') + i\epsilon)} \left[1 - \frac{\epsilon^2}{4E(\mathbf{k}')^2} - \frac{\epsilon(\epsilon + 2iE(\mathbf{k}'))}{E_{\text{cm}}E(\mathbf{k}')} \right] \right\}$$



Bulava, MTH (2019)

Perturbative study...

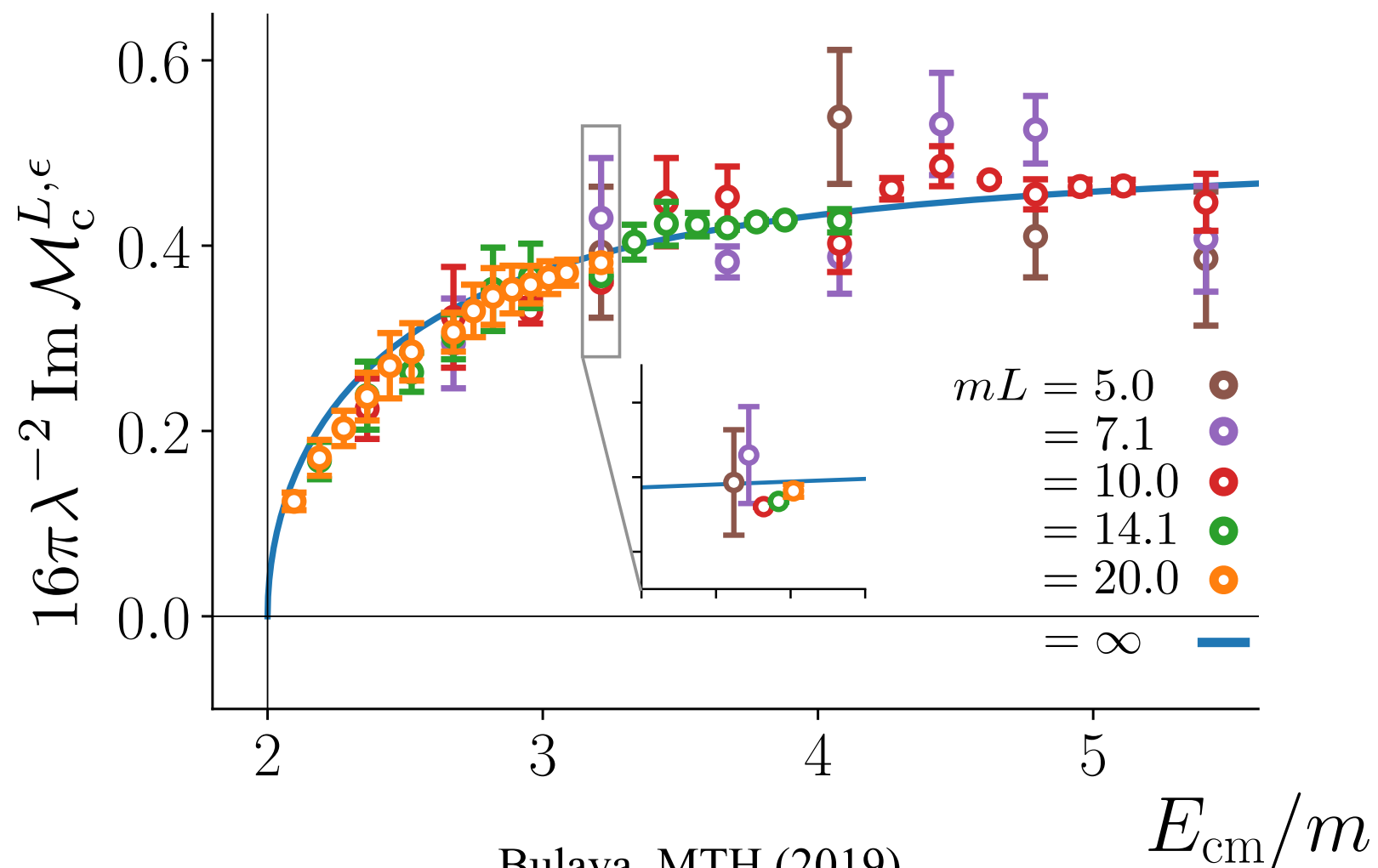
Calculate in PT

$$G_L(\tau) = \langle \pi_{\mathbf{p}_4} | \pi(\tau_3, \mathbf{p}_3) \pi(0) | \pi_{\mathbf{p}_1} \rangle_L$$

Convert to this

$$\mathcal{M}_c^{L,\epsilon}(p_4 p_3 | p_2 p_1)$$

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Bulava, MTH (2019)

Connection to Maiani-Testa

- Maiani and Testa considered correlators of the form

$$G_{[p]}(\tau) = \langle \pi_{-p} | \pi_p(\tau) J(0) | 0 \rangle$$

- And showed two key points

$$G_{[0]}(\tau) = \frac{\sqrt{Z_\pi}}{2M_\pi} e^{-M_\pi \tau} f(4M_\pi^2) \left[1 - a_{\pi\pi} \sqrt{\frac{m_\pi}{\pi\tau}} + O(\tau^{-3/2}) \right]$$

$G_{[p \neq 0]}(\tau)$ is plagued by un-physical (operator dependent) contributions

- Recent work with M. Bruno connects this story to spectral function amplitudes

Variations on the Maiani-Testa approach and the inverse problem

M. Bruno^a and M. T. Hansen^b

arXiv: 2012.11488



G is a smeared spectral function

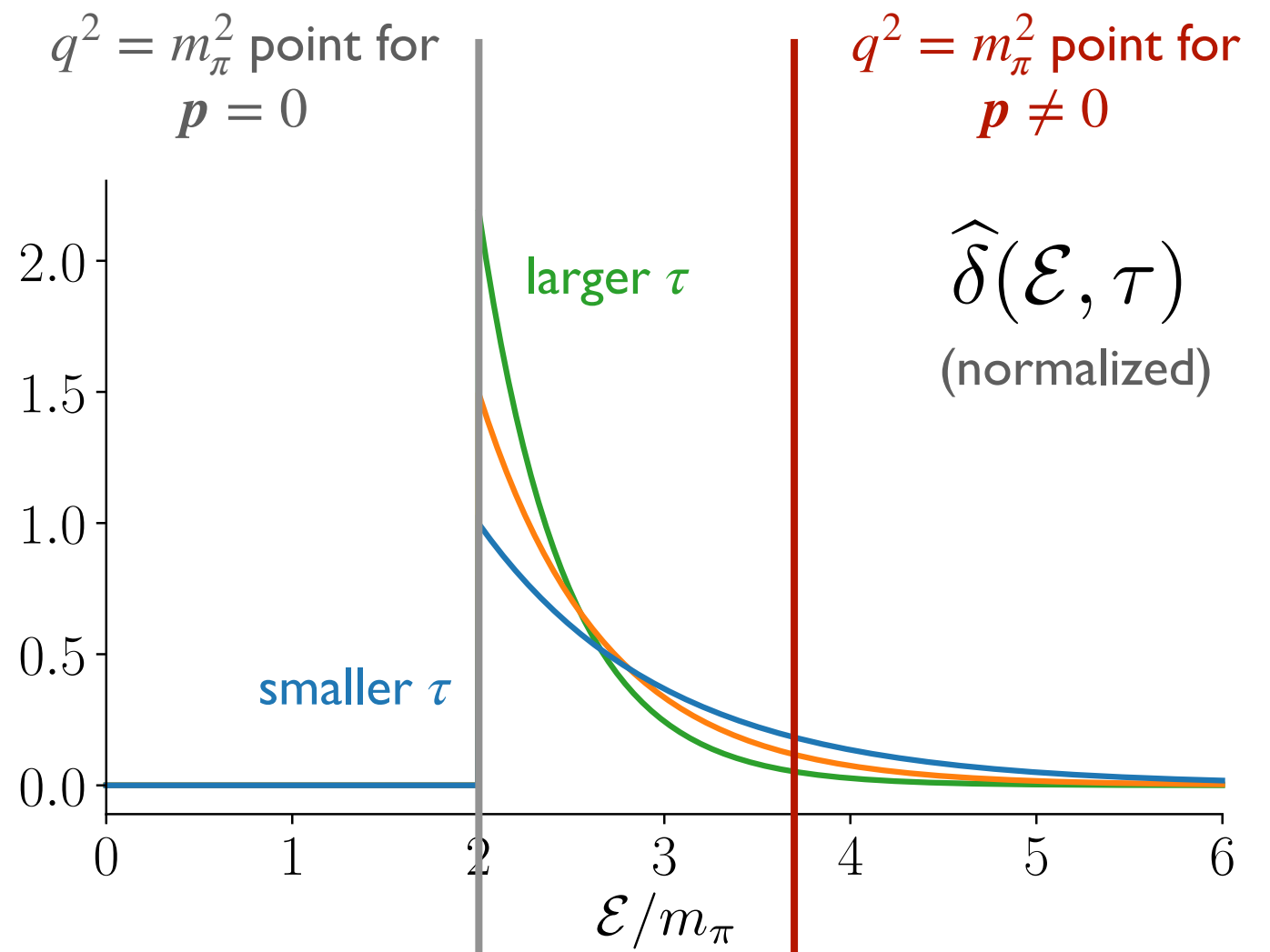
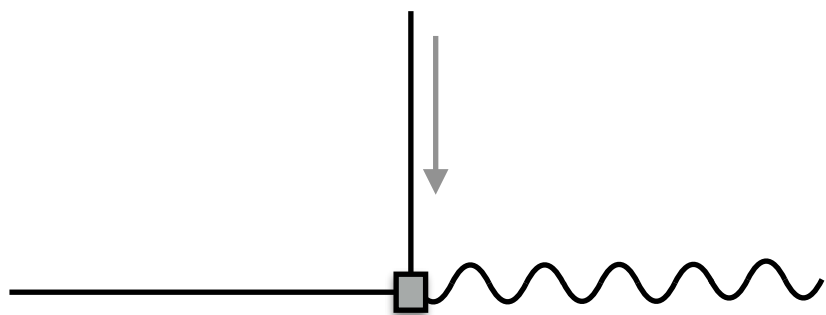
- Correlator can be viewed as a smeared spectral function

$$G_{[p]}(\tau) = \int_0^\infty d\mathcal{E} \rho(\mathcal{E}) \hat{\delta}(\mathcal{E}, \tau)$$

$$\hat{\delta}(\mathcal{E}, \tau) = \theta(\mathcal{E} - 2m_\pi) \exp[-\mathcal{E}\tau]$$

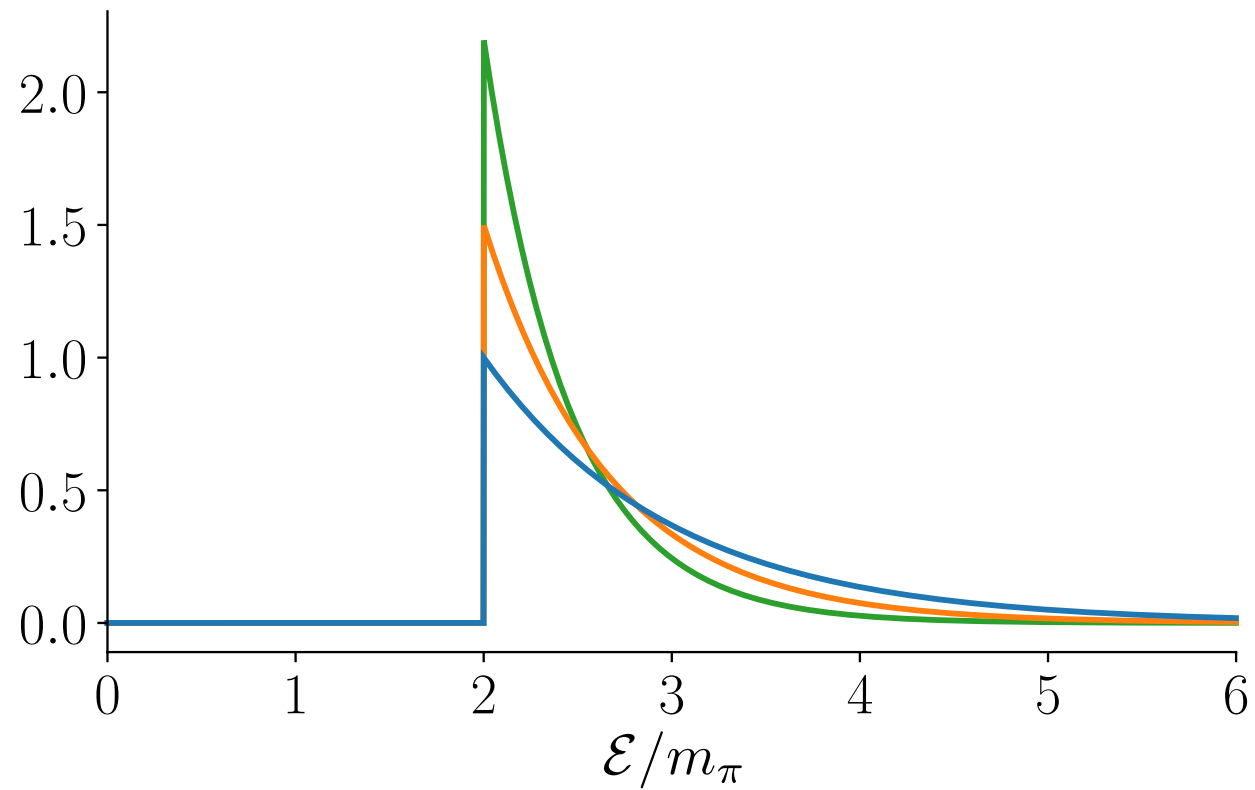
$$\rho(\mathcal{E})$$

$$q^2 = (\mathcal{E} - \omega_p)^2 - p^2$$

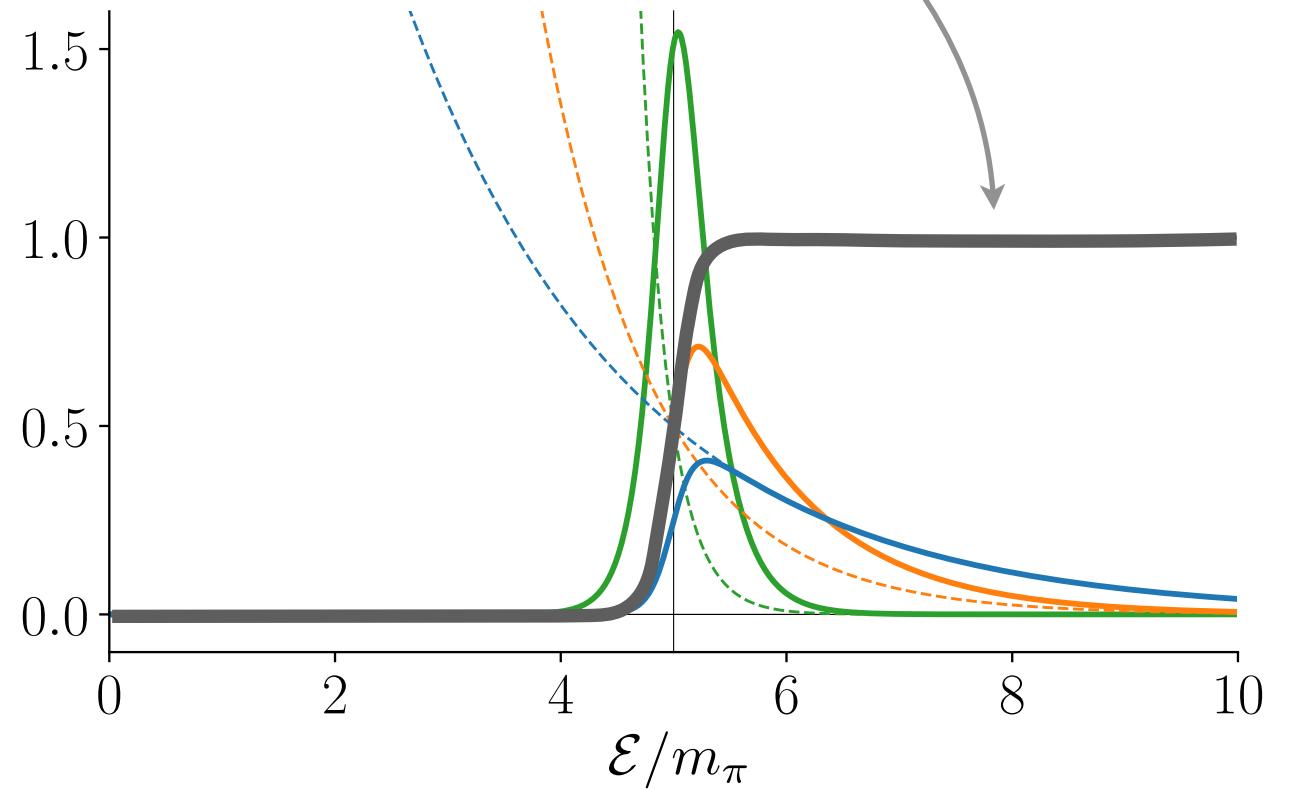


Shift the peak!

$$\hat{\delta}(\mathcal{E}, \tau) = \theta(\mathcal{E} - 2m_\pi) \exp[-\mathcal{E}\tau]$$



$$\hat{\delta}^\Theta(\mathcal{E}, \tau) = \Theta(\mathcal{E} - 2m_\pi, \Delta) \exp[-\mathcal{E}\tau]$$



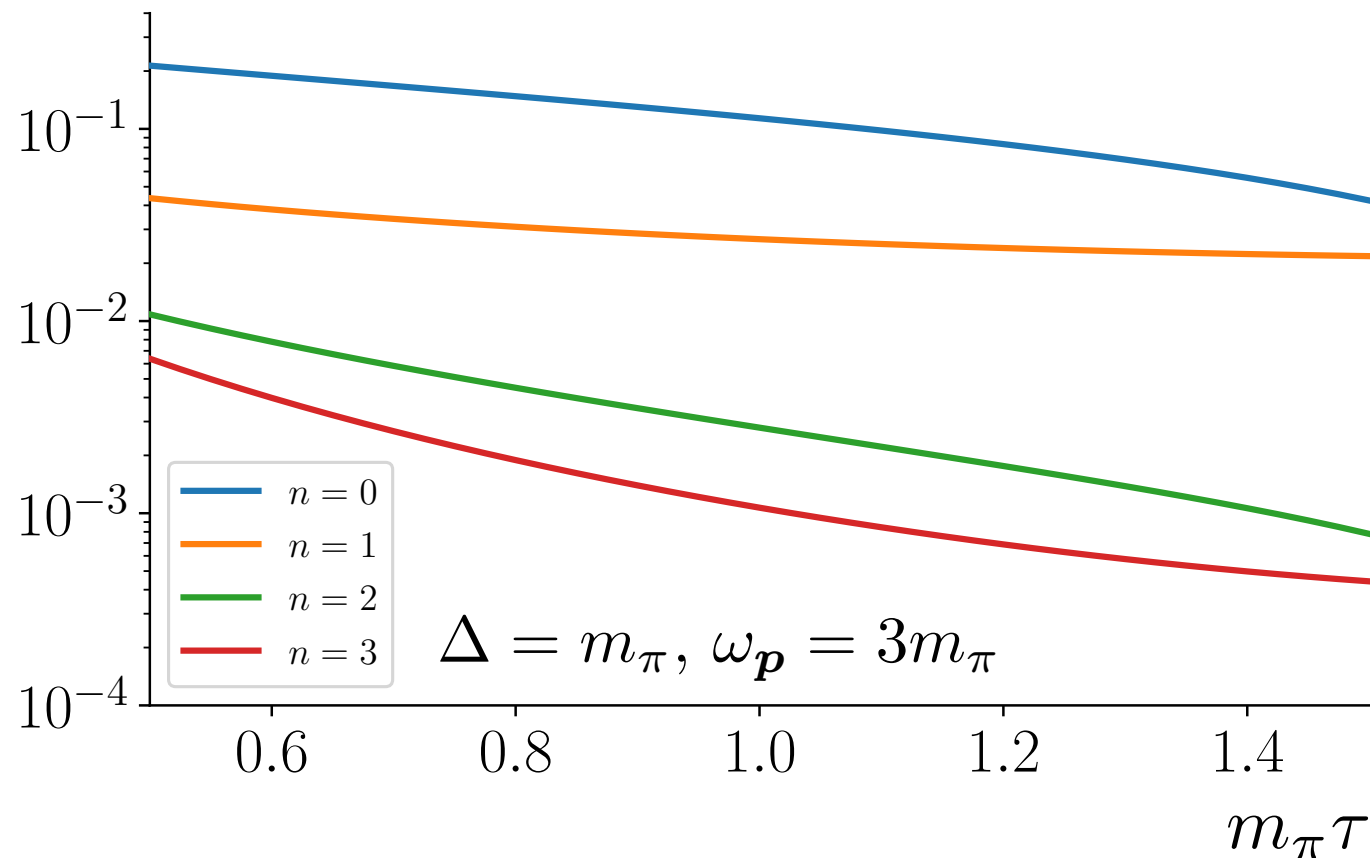
Reaching above threshold

□ So the Maiani and Testa correlator becomes

$$G_{[p]}^{\ominus}(\tau) = \langle \pi_{-p} | \pi_p(\tau) \Theta(\hat{H} - 2\omega_p, \Delta) J(0) | 0 \rangle$$

□ Now separating the fields gives something useful above threshold

$$G_{[p]}^{\ominus}(\tau) = \frac{\sqrt{Z_{\pi}}}{2\omega_p} e^{-\omega_p \tau} \left[\Theta(0, \Delta) \operatorname{Re} f(4\omega_p^2) - 2\mathcal{J}^{(0)}(\tau, \Delta) \operatorname{Im} f(4\omega_p^2) + \dots \right]$$



Hierarchy of $\mathcal{J}^{(n)}$ provides a useful fit function

known functional forms = distinction from the work with Bulava

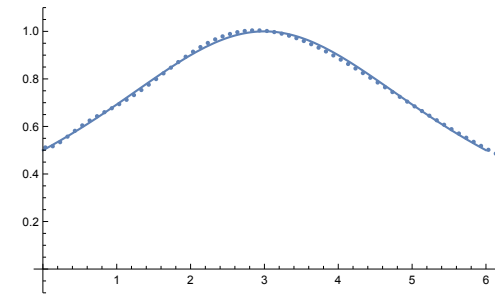
Constructing the Θ correlator

$$G_{[p]}^{\Theta}(\tau) = \langle \pi_{-p} | \pi_p(\tau) \Theta(\hat{H} - 2\omega_p, \Delta) J(0) | 0 \rangle$$

□ Two main methods:

□ Backus-Gilbert and HLT method

□ GEVP



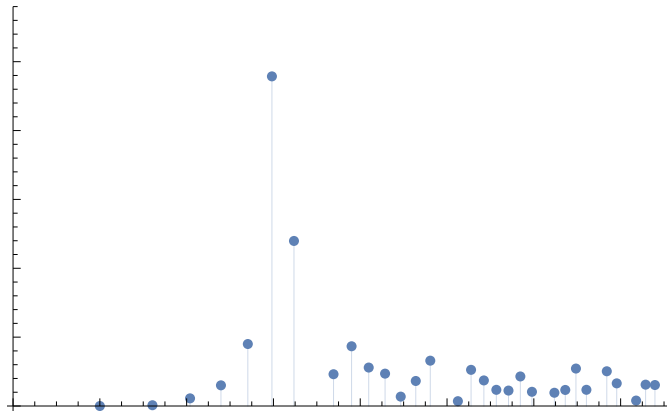
$$G_{[p]}^{\Theta}(\tau) = \sum_n \Theta(E_n - 2\omega_p, \Delta) e^{-(E_n - \omega_p)\tau} \langle \pi_{-p} | \pi_p(0) | n \rangle \langle n | J(0) | 0 \rangle$$

combine finite-volume energies and matrix elements

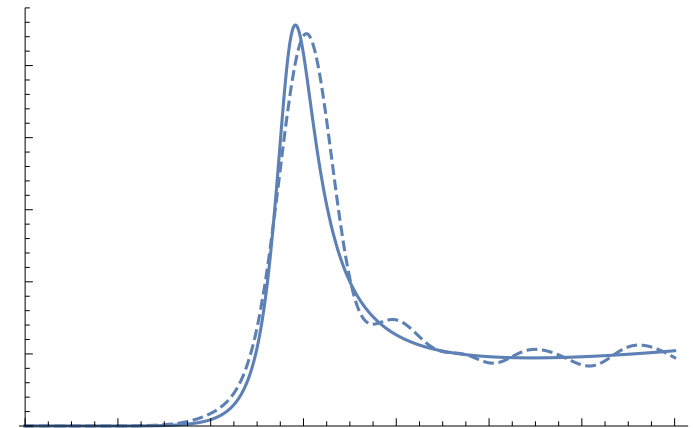
□ Volume effects?... Suppressed as $e^{-\Delta L}$, but more investigation is needed

Conclusions

- ❑ Cannot solve the inverse problem, we can get $\hat{\rho}_{L,\Delta}(\bar{\omega}) \equiv \int_0^\infty d\omega \hat{\delta}_\Delta(\bar{\omega}, \omega) \rho_L(\omega)$
- ❑ Smearing is needed anyway to *suppress volume effects*



$$1/L \ll \Delta \ll \mu_{\text{physical}}$$



- ❑ Generalized Backus-Gilbert takes $\hat{\delta}_\Delta^{\text{target}}(\bar{\omega}, \omega)$ as input
- ❑ Recent work has connected this to the work of Maiani and Testa

This has unlocked a *playground* of calculations that we *just beginning* to explore

Thanks!

